# Generative models for natural language inference DGM4NLP

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May 12, 2019

#### Introduction

Levels of Representation RTE Methods Current Methods Latent Variable Models Uncertainty in Natural Language Inference References

### Outline



#### Introduction

- Applications of Textual Entailment
- 2 Levels of Representation
- 3 RTE Methods• Evaluation
- 4 Current Methods
- 5 Latent Variable Models
- 6 Uncertainty in Natural Language Inference

Applications of Textual Entailment

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T: The purchase of Houston-based LexCorp by BMI for \$2Bn prompted widespread sell-offs by traders as they sought to minimize exposure. H: BMI acquired an American company.

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Applications of Textual Entailment

### **Recognising Textual Entailment**

• Recognition: identification of a thing or person from **previous** encounters or **knowledge**.

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- Recognition: identification of a thing or person from **previous** encounters or **knowledge**.
- Physicians are trained in medicine to recognise and treat a disease.

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RTE can be **framed** as a classification problem, where the entailment relations are the classes, and the RTE benchmark provides the essential evidence to build a **supervised binary classifier** (Dagan et al., 2010)

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- RTE has been proposed as a generic task that captures major semantic inference needs across natural language processing applications.
- We can frame natural language processing tasks as recognition.

Input as T and generated output as H.

Applications of Textual Entailment

### Question Answering

• Question Answering system generates as output the best candidate answers. While the top candidate may not be the correct answer, the correct answer is in the set of returned candidates.

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T/Q: Arabic, for example, is used densely across North Africa and from the Eastern Mediterranean to the Philippines, as the key language of the Arab world. H/A: Arabic is the primary language of the

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Applications of Textual Entailment

### Summarisation

• Identifying if a new sentence contains information already by a summary-in-progress (redundancy detection) can be framed as the current summary as T and the new sentence as H.

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T/S1: Google and NASA announced a working agreement, Wednesday, that could result in the Internet giant building a complex of up to 1 million square feet on NASA-owned property, adjacent to Moffett Field, near Mountain View.

H/S2: Google may build a campus on NASA property.

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To recognise **TRUE** entailment relation:

• "company" in the Hypothesis can match "LexCorp",

# Challenge of RTE

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- "company" in the Hypothesis can match "LexCorp",
- "based in Houston" implies "American",
- identify the relation "purchase",
- determine that "A purchased by B" implies "B acquires A".

### Levels of Representation

• Determining the equivalence or non-equivalence of the meanings of the T-H.

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- The representation (e.g. words, syntax, semantics) of the T-H pair that is used to extract features to train a supervised classifier.

### Lexical level

• Every assertion (word) in the representation of H is contained in the representation T.

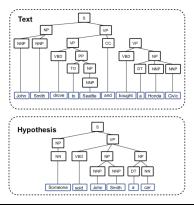
| Text  |  |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|--|
| John Smith drove to Seattle and bought a Honda Civic            |  |  |  |  |  |  |  |  |  |
| John()to()bought()Civic()Smith()Seattle()a()Drove()and()Honda() |  |  |  |  |  |  |  |  |  |
| Hypothesis  |  |  |  |  |  |  |  |  |  |
| John Smith drove to Seattle                                     |  |  |  |  |  |  |  |  |  |
| John() to()<br>Smith() Seattle()<br>Drove()                     |  |  |  |  |  |  |  |  |  |

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  - H and T sentences encode aspects of underlying meaning that cannot be captured by the purely lexical representation.

| Text                  |                              |                            |                              |          |       |       |                |       |    |                            |
|-----------------------|------------------------------|----------------------------|------------------------------|----------|-------|-------|----------------|-------|----|----------------------------|
| John Smith            | drove                        | to Seattle                 | and                          | bought a | Honda | Civic | 1              |       |    |                            |
| 1<br>1<br>1           | John()<br>Smith()<br>Drove() | to()<br>Seattle()<br>and() | bought()<br>a()<br>Honda()   | Civic()  |       |       | <br> <br> <br> |       |    |                            |
| Hypothe               |                              | da Civic                   | drove to                     | Seattle  | <br>  | ^     |                |       |    |                            |
| 1<br>1<br>1<br>1<br>1 |                              | a()<br>Honda()<br>Civic()  | drove()<br>to()<br>Seattle() |          | J     |       |                |       |    |                            |
| ·                     |                              |                            |                              |          |       |       |                | < ⊡ > | く目 | <ul> <li>&lt; Ξ</li> </ul> |

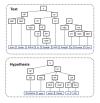
### Structural level

• Syntactic structure provides cues for the underlying meaning of a sentence.



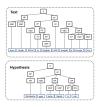
### Structural level

• If T contains the same structure (i.e, dependency edges), the system will predict TRUE and otherwise FALSE.



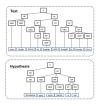
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- "John" and "drove," but the two words are **separated** by a sequence of dependency edges.



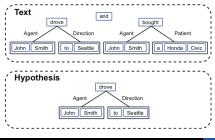
## Structural level

- If T contains the same structure (i.e, dependency edges), the system will predict TRUE and otherwise FALSE.
- "John" and "drove," but the two words are **separated** by a sequence of dependency edges.
- Given the expressiveness of the dependency representation, many possible sequences of edges that could represent connection, and many other sequences that do not.



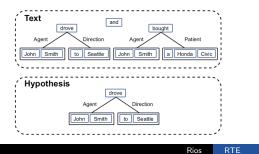
### Semantic level

• Semantic role labelling, grouping of words into "arguments" (entity such as a person or place) and "predicates" (a predicate being a verb representing the state of some entity).



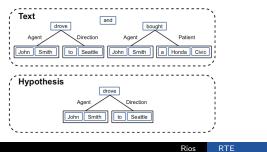
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- Semantic role labelling, grouping of words into "arguments" (entity such as a person or place) and "predicates" (a predicate being a verb representing the state of some entity).
- Immediate connections between arguments and predicates.
- "John" is an argument of the predicate "drove"



### Knowledge Acquisition for RTE

• T: The U.S. citizens elected their new president Obama. H: Obama was born in the U.S.

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- T: The U.S. citizens elected their new president Obama. H: Obama was born in the U.S.
- Assumed **background knowledge**: "U.S. presidents should be naturally born in the U.S."

# Knowledge Acquisition for RTE

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- Knowledge is a lexical-semantic relation between two words.
- I enlarged my stock. and I enlarged my inventory. synonym
- I have a cat. entails I have a pet. hyponymy
- But also meaning implication between more complex structures than just lexical terms.
   X causes Y → Y is a symptom of X

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 WordNet specifies lexical-semantic relations between lexical items such as hyponymy, synonymy, and derivation. chair → furniture

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- FrameNet is a lexicographic resource for frames that are events and includes information on the predicates and argument relevant for that specific event. The attack frame, and specifies events: 'assailant', a 'victim',

a 'weapon', etc.

cure  $X \to X$  recover

# Knowledge Acquisition for RTE

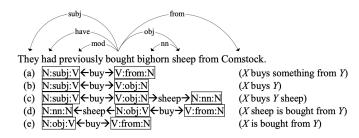
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   cure X → X recover

Wikipedia articles for identifying is a relations.
 Jim Carrey → actor

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• Extended Distributional Hypothesis: If two paths tend to occur in similar contexts, the meanings of the paths tend to be similar.

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- X solves Y
  - Y is solved by X
  - X finds a solution to Y



# Outline







Evaluation

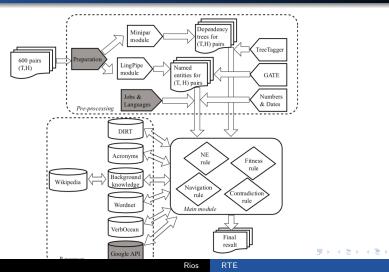
#### Recognising Textual Entailment Methods

• RTE depend on the representation (e.g. words, syntax, semantics) of the T-H pair that is used to extract features to train a supervised classifier.

| Text<br>John Smith drove to Seattle and bought a Honda Civic<br>Drive(E <sub>T1</sub> , John Smith, Seattle)<br>Buy(E <sub>T2</sub> , John Smith, a Honda Civic) |
|--|
| Hypothesis   |
| Drive(E <sub>H1</sub> , John Smith, Seattle)   |

Evaluation

#### Recognising Textual Entailment Methods



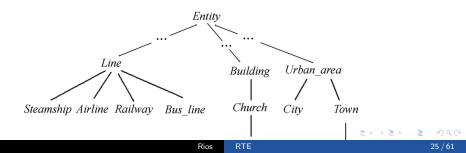
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Evaluation

## Similarity-based approaches

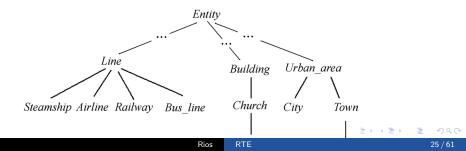
• Pair with a strong similarity score holds a positive entailment relation.



Evaluation

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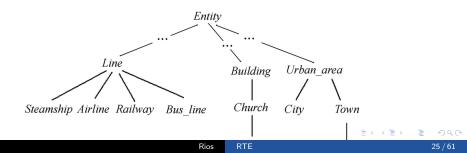
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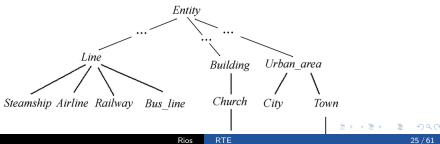
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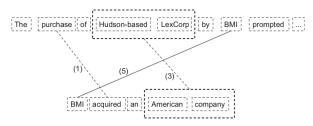
# Similarity-based approaches

- Pair with a strong similarity score holds a positive entailment relation.
- Wordnet similarity.
- String similarity.
- Similarity scores computed from different linguistic levels. The goal is to find complementary features.



Evaluation

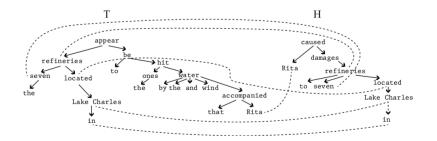
#### Alignment-based approaches



- (1,purchase,acquired)
   (3,Hudson-based LexCorp, American company),
   (5,BMI,BMI)
- $\rho_4 = \text{ purchase of } \overline{X} \text{ by } \overline{Y} \rightarrow \overline{Y} \text{ acquired } \overline{X}$
- $\rho_5 = \overline{Z:Noun} \text{ of } \overline{X} \text{ by } \overline{Y} \rightarrow \overline{Y} \overline{Z:Verb} \overline{X}$

Evaluation

#### Alignment-based approaches



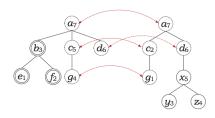
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Evaluation

### Edit distance-based approaches

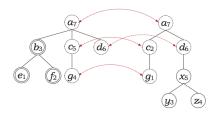
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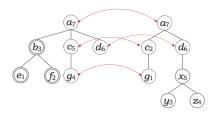
- T entails H if there is a sequence of transformations applied to T such that we can obtain H with an overall cost below a certain threshold.
- Insertion, Substitution, and Deletion.



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## Edit distance-based approaches

- T entails H if there is a **sequence of transformations** applied to T such that we can obtain H with an overall cost below a certain **threshold**.
- Insertion, Substitution, and Deletion.
- Alternative for expensive theorem provers.



# **Evaluation**

Accuracy

Evaluation

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Evaluation

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- RTE-4 and RTE-5 increase the difficulty by adding irrelevant signals (additional words, phrases, and sentences).

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- Premise: A soccer game with multiple males playing. Hypothesis: Some men are playing a sport.

# MNLI

• Multiple genres

classifiers only learn **regularities** over annotated data, leading to **poor generalization** beyond the domain of the training data

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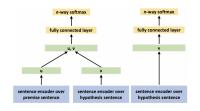
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- T: 8 million in relief in the form of emergency housing.
   H: The 8 million dollars for emergency housing was still not enough to solve the problem.

#### Government

#### Drawbacks



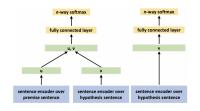
| Premise       | A woman selling bamboo sticks talking to two men on a loading dock. |
|---------------|---|
| Entailment    | There are at least three people on a loading dock.                  |
| Neutral       | A woman is selling bamboo sticks to help provide for her family.    |
| Contradiction | A woman is <b>not</b> taking money for any of her sticks.           |

#### • Entailment: animal, instrument, and outdoors.

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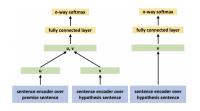
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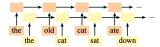


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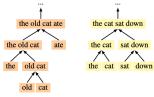
- Entailment: animal, instrument, and outdoors.
- Neutral: Modifiers (tall, sad, popular) and superlatives (first, favorite, most)
- Contradiction: Negation words, nobody, no never and nothing 33/61

#### Neural Network Models

• Embeddings like glove or elmo, for fine tuning.



(a) A conventional sequence-based RNN for two sentences.

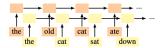


(b) A conventional TreeRNN for two sentences.

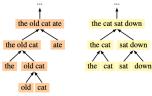
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### Neural Network Models

- Embeddings like glove or elmo, for fine tuning.
- Sentence representations.

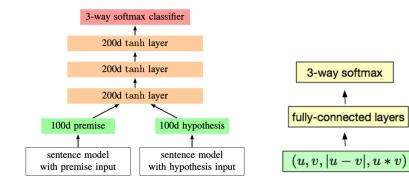


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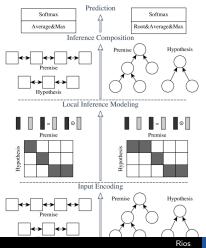
(b) A conventional TreeRNN for two sentences.

#### **BiLSMT** composition



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### **ESIM**



RTE

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### **ESIM**

| $\mathbf{t}_i = emb(t_i; \omega_emb)$   | (1a)   |
|---|--------|
| $\mathbf{h}_j = emb(h_j; \omega_emb)$   | (1b)   |
| $\mathbf{s}_1^m = birnn(\mathbf{t}_1^m; \omega_{enc})$  | (1c)   |
| $u_1^n = birnn(h_1^n;\omega_{enc})$   | (1d)   |
| $\mathbf{a}_i = attention(\mathbf{s}_i, \mathbf{u}_1^n)$  | (1e)   |
| $\mathbf{b}_j = attention(\mathbf{u}_j, \mathbf{s}_1^m)$  | (1f)   |
| $\mathbf{c}_i = [\mathbf{s}_i, \mathbf{a}_i, \mathbf{s}_i - \mathbf{a}_i, \mathbf{s}_i \odot \mathbf{a}_i]$ | (1g)   |
| $\mathbf{d}_j = [\mathbf{u}_j, \mathbf{b}_j, \mathbf{u}_j - \mathbf{b}_j, \mathbf{u}_j \odot \mathbf{b}_j]$ | (1h)   |
| $\mathbf{c}_1^m = birnn(\mathbf{c}_1^m; \omega_{comp})$   | (1i)   |
| $\mathbf{d}_1^n = birnn(\mathbf{d}_1^n; \omega_{comp})$   | (1j)   |
| $\mathbf{q} = [avg(\mathbf{c}_1^m),maxpool(\mathbf{c}_1^m),avg(\mathbf{d}_1^n),maxpool(\mathbf{d}_1^n)]$    | (1k)   |
| $\mathbf{q} = tanh(affine(\mathbf{q}; \omega_hid))$   | (11)   |
| $f(x) = 	ext{softmax}(	ext{mlp}(	extbf{q}; \omega_{	ext{cls}}))$  | (1m)   |
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## Outline



• Applications of Textual Entailment

- 2 Levels of Representation
- 3 RTE Methods• Evaluation
- 4 Current Methods



#### Latent Structure Induction

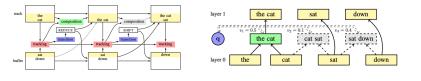
I saw the man with the telescope I saw the man with the telescope

(a) Two parse trees correspond to two distinct interpretations for the sentence in example (1).



He swung at the brute with his sword . He swung at the brute with his sword .

(b) Parses generated by at ST-Gumbel model (left) and the Stanford Parser (right).



#### Deep Generative Models

 Model that generates hypothesis and decision given a text and a stochastic embedding of the hypothesis-decision pair.

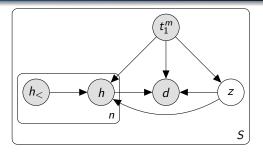
#### Deep Generative Models

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- Models to learn from mixed-domain NLI data
   e.g. by capitalising on lexical domain-dependent patterns.

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- Model that generates hypothesis and decision given a text and a stochastic embedding of the hypothesis-decision pair.
- Models to learn from mixed-domain NLI data
   e.g. by capitalising on lexical domain-dependent patterns.
- Performance of standard classifiers tend to vary across domains and especially out of domain.

#### Deep Generative Models



$$egin{aligned} &Z_i | t_1^m \sim \mathcal{N}(\mu(s_1^m), \sigma^2(s_1^m)) \ &H_i | z_1^m \sim Cat(f(z_1^m, t_1^m; heta)) \ &D_j | z_1^m, h_1^n \sim Cat(g(z_1^m, t_1^m, h_1^n; heta)) \end{aligned}$$

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#### Deep Generative Models I

• Joint likelihood of y (hypothesis) and d (decision)

$$p(y, d|x, \theta) = \int p(z|x, \theta) p(y|x, z, \theta) p(d|x, y, z, \theta) dz.$$
(2)

• The hypothesis generation model:

$$p(y|x, z, \theta) = \prod_{j=1}^{|y|} p(y_j|x, z, y_{< j}, \theta)$$

$$= \prod_{j=1}^{|y|} \operatorname{Cat}(y_j|f_o(x, z, y_{< j}; \theta)),$$
(3)

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#### Deep Generative Models II

• The classification model ESIM:

$$p(d|x, y, z, \theta) = \operatorname{Cat}(d|f_c(x, y, z; \theta))$$
(4)

Lowerbound on the log-likelihood function (ELBO)

$$\mathcal{L}(\theta,\phi) = \mathbb{E}_{q(z|x,y,d,\phi)} \left[ \log p(y,d|x,z,\theta) \right] - \mathsf{KL}(q(z|x,y,d,\phi) || p(z|x,\theta))$$
(5)

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### Deep Generative Models

| Model                                | Dev              |                  |  |
|--------------------------------------|------------------|------------------|--|
|                                      | matched          | mismatched       |  |
| ESIM <sub>mnli</sub>                 | $74.39\pm0.11$   | $74.05\pm0.21$   |  |
| $+ \mathcal{N}$ -VAE <sub>50z</sub>  | $74.89 \pm 0.25$ | $74.07\pm0.37$   |  |
| $+ \mathcal{N}$ -VAE <sub>100z</sub> | $74.82 \pm 0.28$ | $73.91 \pm 0.59$ |  |
| $+ \mathcal{N}\text{-VAE}_{256z}$    | $74.87 \pm 0.15$ | $74.08 \pm 0.16$ |  |

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### Outline

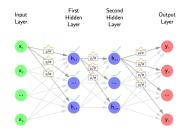


- Evaluation

- 6 Uncertainty in Natural Language Inference

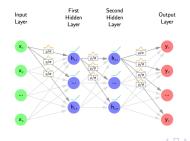
## Bayes by backprop

• NNs perform well with lots of data, however they fail to express uncertainty with little or no data, leading to overconfident decisions.



## Bayes by backprop

- NNs perform well with lots of data, however they fail to express uncertainty with little or no data, leading to overconfident decisions.
- Bayesian neural networks introduce probability distributions over the weights.



## Bayes by backprop

• However, Bayesian inference on the parameters  $\omega$  of a neural network is intractable, with data D.

$$p(\omega|\mathcal{D}) = \frac{p(\mathcal{D}|\omega)p(\omega)}{p(\mathcal{D})} = \frac{p(\mathcal{D}|\omega)p(\omega)}{\int p(\mathcal{D}|\omega)p(\omega)\mathrm{d}\omega}$$
(6)

(Blundell et al., 2015)

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- We need an approximation  $q(\omega|\theta)$ , over the weights that approximates the true posterior
- The ELBO is:

$$\mathcal{L}(\mathcal{D}, \theta) = \int q(\omega|\theta) \log \frac{q(\omega|\theta)}{p(\omega)} - q(\omega|\theta) \log p(\mathcal{D}|\omega) d\omega$$
  
= KL[q(\omega|\theta)||p(\omega)] - \mathbb{E}\_{q(\omega|\theta)}[\log p(\mathcal{D}|\omega)] (7)

(Blundell et al., 2015)

## MC dropout I

- On NLI training inputs X = \langle ((t<sub>1</sub>, h<sub>1</sub>), ..., (t<sub>n</sub>, h<sub>n</sub>) \rangle are premise (t) and hypothesis (h) pairs, and the corresponding outputs Y = \langle y<sub>1</sub>, ..., y<sub>n</sub> \rangle over N instances.
- The likelihood for classification is defined by:

$$p(y|x,\omega) = \operatorname{Cat}(y|f(x;\omega)), \tag{8}$$

over y entailment relations computed by mapping from the input to the class probabilities with a neural network f parameterised by  $\omega$ .

# MC dropout II

- A Bayesian NN (MacKay, 1992) is defined by placing a prior distribution over the model parameters p(ω), where this prior is often a Gaussian distribution p(ω) ~ N(0, I).
- The Bayesian NN formulation leads to a posterior distribution over the parameters given our observed data, instead of a single estimate.
- We are interested on estimating the posterior distribution over the parameters p(ω|D), given our observed data X, Y.
- The goal is to predict a new input instances by marginalising over the parameters:

$$p(y^*|x^*,\mathcal{D}) = \int p(y^*|x^*,\omega) p(\omega|\mathcal{D}) d\omega. \tag{9}$$

# MC dropout III

- However, the true posterior  $p(\omega|D)$  is intractable, and Gal and Ghahramani (2016a) use variational inference to approximate this posterior.
- We define an approximate distribution  $q_{\theta}(\omega)$ , to minimise the KL divergence between the approximation and the true posterior.
- The objective for optimisation is a lower-bound on the log-likelihood function (ELBO):

$$\mathcal{L} = \mathbb{E}_{q(\omega)} \left[ \sum_{i=1}^{N} \log p(y_i | f(x_i; \omega)) \right]$$
(10)  
- KL(q\_{\theta}(\omega)) || p(\omega)),

## MC dropout IV

where the KL term is approximated with  $L_2$  regularisation.

- Gal and Ghahramani (2016a) show that the use of dropout in NNs before each weight layer is an approximation to variational inference in Bayesian NNs.
- By replacing the true posterior p(ω|D) with the approximate posterior q<sub>θ</sub>(ω), we obtain a Monte Carlo (MC) estimate for future predictions :

$$p(y^*|x^*, \mathcal{D}) \approx \int p(y^*|x^*, \omega) q_{\theta}(\omega) d\omega$$

$$\approx \frac{1}{T} \sum_t^T p(y^*|x^*, \hat{\omega}_t),$$
(11)

## MC dropout V

where  $\hat{\omega}_t \sim q_ heta(\omega)$ 

- In practice, the approximation to the predictive distribution is based on performing *T* stochastic forward passes through the network and averaging the results.
- In other words, this is achieved by performing dropout at test time (MC dropout).
- Finally, for classification, a way to quantify uncertainty is by computing the entropy of the output probability vector *H*(*p*) = − ∑<sup>C</sup><sub>c=1</sub> *p*<sub>c</sub> log *p*<sub>c</sub> over *c* classes.

#### Uncertainty in natural language inference

• ESIM for classification (without syntactic parses)



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- Finally, for the additional  $L_2$  regularisation, we use a separate weight decay: for weights  $\lambda_{\omega} = \frac{1-\rho_{drop}}{N}$  with  $p_{drop}$  dropout, and for biases (b):  $\lambda_{\rm b} = \frac{1}{N}$ .

| Introduction                              |
|---|
| Levels of Representation                  |
| RTE Methods                               |
| Current Methods                           |
| Latent Variable Models                    |
| Uncertainty in Natural Language Inference |
| References                                |

### Results

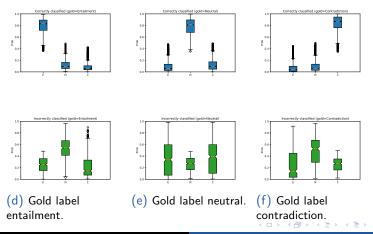
| Training  | Model                                      | SNLI  | Breaking NLI  |
|-----------|--|---|---|
|           | $ESIM^\dagger$                             | 87.9  | 65.6  |
| SNLI      | ESIM <sub>ours</sub><br>ESIM <sub>MC</sub> | $\begin{array}{c} 86.4 \pm 0.09 \\ 86.5 \pm 0.13 \end{array}$ | $\begin{array}{c} 57.6\pm1.9\\ 68.9\pm1.7\end{array}$ |
| MNLI+SNLI | $ESIM^\dagger$                             | 86.3  | 74.9  |
|           | ESIM <sub>ours</sub><br>ESIM <sub>MC</sub> | $\begin{array}{c} 86.8\pm0.05\\ 86.6\pm0.16\end{array}$       | $\begin{array}{c} 68.8\pm3.5\\ 75.2\pm1.3\end{array}$ |

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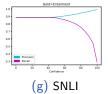
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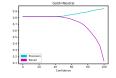
## **Results SNLI**

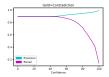


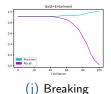
Rios RT

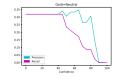
#### Results SNLI and Breaking

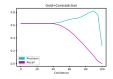














#### Results

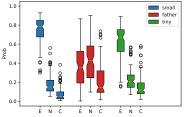
P: The little girl is riding in the car with her dad.H: The small girl is riding in the car with her dad.

P: The little girl is riding in the car with her dad.H: The little girl is riding in the car with her father.

P: The little girl is riding in the car with her dad.

H: The tiny girl is riding in the car with her dad.





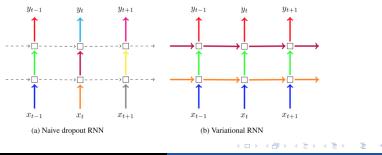
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## Homework!!

- Dropout in Recurrent Networks (Gal and Ghahramani, 2016b)
- Use the same dropout mask at each time step for both inputs, outputs, and recurrent layers
- The RNN can be framed as a probabilistic model.



#### Literature I

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