

Lexical alignment: feature-rich models

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Overview of Neural Networks

Neural IBM 1

Alignment distribution

Position parameterisation $L^2 \times M^2$ Jump distribution [Vogel et al., 1996]

- ▶ define a jump function $\delta(a_j, j, l, m) = a_j - \lfloor j \frac{l}{m} \rfloor$

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where $\Delta = \langle \delta_{-L}, \dots, \delta_L \rangle$ is a vector of parameters called **jump probabilities**
- ▶ The categorical distribution is defined for jumps ranging from $-L$ to L
The jump function defines the support of the alignment distribution
- ▶ A jump quantifies a notion of mismatch in linear order between French and English
Leads to a very small number of parameters, $2 \times L$

1: $N \leftarrow$ number of sentence pairs
 2: $I \leftarrow$ number of iterations
 3: $\lambda \leftarrow$ lexical parameters
 4: $\gamma \leftarrow$ alignment parameters
 5:
 6: **for** $i \in [1, \dots, I]$ **do**
 7: **E step:**
 8: $n(\lambda_{e,f}) \leftarrow 0$ $\forall (e, f) \in V_E \times V_F$
 9: $n(\gamma_x) \leftarrow 0$ $\forall x \in [-L, L]$
 10: **for** $s \in [1, \dots, N]$ **do**
 11: **for** $j \in [1, \dots, m^{(s)}]$ **do**
 12: **for** $i \in [0, \dots, l^{(s)}]$ **do**
 13: $x \leftarrow \text{jump}(i, j, l^{(s)}, m^{(s)})$
 14: $n(\lambda_{e_i, f_j}) \leftarrow n(\lambda_{e_i, f_j}) + \frac{\lambda_{e_i, f_j} \times \gamma_x}{\sum_{k=0}^l \lambda_{e_k, f_j} \times \gamma_{\text{jump}(k, j, l^{(s)}, m^{(s)})}}$
 15: $n(\gamma_x) \leftarrow n(\gamma_x) + \frac{\lambda_{e_i, f_j} \times \gamma_{\text{jump}(i, j, l, m)}}{\sum_{k=1}^l \lambda_{e_k, f_j} \times \gamma_{\text{jump}(k, j, l^{(s)}, m^{(s)})}}$
 16: **end for**
 17: **end for**
 18: **end for**
 19:
 20: **M step:**
 21: $\lambda_{e,f} \leftarrow \frac{n(\lambda_{e,f})}{\sum_{f' \in V_F} n(\lambda_{e,f'})}$ $\forall (e, f) \in V_E \times V_F$
 22: $\gamma_x \leftarrow \frac{n(\gamma_x)}{\sum_{x' \in [-L, L]} n(\gamma_{x'})}$ $\forall x \in [-L, L]$
 23: **end for**

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IBM 1

- ▶ The mixture weights are fixed and uniform,
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- ▶ In practice, one usually starts from uniform parameters. [Toutanova and Galley, 2011] show better initialisations

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- ▶ Changing weights may change in the component distributions and the other way around.
- ▶ In practice, one initialises the component distributions of IBM2 (i.e. its translation parameters) with IBM1 estimates.
- ▶ The alignment distributions are initialised uniformly. Notice we first have to train IBM1 before proceeding to IBM2

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IBM 1-2: strong assumptions

Independence assumptions

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- ▶ $p(f|e)$ can only reasonably explain one-to-one alignments
I **will be leaving soon** ↔ voy **a salir pronto**

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Parameterisation

- ▶ categorical events are unrelated
prefixes/suffixes: normal, normally, abnormally, ...
verb inflections: comer, comi, comia, comio, ...
gender/number: gato, gatos, gata, gatas, ...

Conditional probability distributions

CPD: condition $c \in \mathcal{C}$, outcome $o \in \mathcal{O}$, and $\theta_c \in \mathbb{R}^{|\mathcal{O}|}$

$$p(o|c) = \text{Cat}(\theta_c) \quad (1)$$

► $p(o|c) = \theta_{c,o}$

How bad is it for IBM model 1?

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- ▶ $0 \leq \theta_{c,o} \leq 1$
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- ▶ $O(|\mathcal{C}| \times |\mathcal{O}|)$ parameters

How bad is it for IBM model 1?

Probability tables

$$p(f|e)$$

| ENGLISH ↓ | FRENCH → | | | |
|-----------|----------|--------|-------------|-----|
| | anormal | normal | normalmente | ... |
| abnormal | 0.7 | 0.1 | 0.01 | ... |
| normal | 0.01 | 0.6 | 0.2 | ... |
| normally | 0.001 | 0.25 | 0.65 | ... |

- ▶ grows with size of vocabularies
- ▶ no parameter sharing

Logistic CPDs

CPD: condition $c \in \mathcal{C}$ and outcome $o \in \mathcal{O}$

$$p(o|c) = \frac{\exp(w^\top h(c, o))}{\sum_{o'} \exp(w^\top h(c, o'))} \quad (2)$$

- ▶ $w \in \mathbb{R}^d$ is a weight vector
- ▶ $h : \mathcal{C} \times \mathcal{O} \rightarrow \mathbb{R}^d$ is a feature function
- ▶ d parameters
- ▶ computing CPD requires $O(|\mathcal{C}| \times |\mathcal{O}| \times d)$ operations

How bad is it for IBM model 1?

CPDs as functions

$$h : \mathcal{E} \times \mathcal{F} \rightarrow R^d$$

| EVENTS ↓ | | FEATURES → | | | | |
|-----------|----------------------------|---------------|----------------|----------------|------------|---------------|
| ENGLISH | FRENCH | normal | <i>normal-</i> | <u>-normal</u> | ab- | <i>-ly</i> |
| | | normal | <i>normal-</i> | <u>-normal</u> | a- | <i>-mente</i> |
| abnormal | a <u>normal</u> | 0 | 0 | 1 | 1 | 0 |
| | <u>normal</u> | 0 | 0 | 1 | 0 | 0 |
| | <i>normal</i> mente | 0 | 1 | 0 | 0 | 0 |
| normal | a <u>normal</u> | 0 | 0 | 1 | 0 | 0 |
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| | <i>normal</i> mente | 0 | 1 | 0 | 0 | 1 |
| WEIGHTS → | | 1.5 | 0.3 | 0.3 | 0.8 | 1.1 |

- ▶ computation still grows with size of vocabularies
- ▶ but far less parameters to estimate

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Log-linear models

- ▶ Log-linear models revolve around the concept of features. In short, features are basically, something about the context that will be useful in predicting

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- ▶ Enhancing models with **features** that capture the dependencies between different morphologically inflected word forms. The standard parameterisation using categorical distributions is limited with respect to the features it can capture

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Lexical distribution in IBM model 1

$$p(f|e) = \frac{\exp(w_{\text{lex}}^{\top} h_{\text{lex}}(e, f))}{\sum_{f'} \exp(w_{\text{lex}}^{\top} h_{\text{lex}}(e, f'))} \quad (3)$$

Features

- ▶ $f \in V_F$ is a French word (decision), $e \in V_E$ is an English word (conditioning context), $w \in R^d$ is the parameter vector, and $h : V_F V_E \rightarrow R^d$ is a feature vector function.
- ▶ prefixes/suffixes
- ▶ character n -grams
- ▶ POS tags

Extension: lexicalised jump distribution

$$p(\delta|e) = \frac{\exp(w_{\text{dist}}^{\top} h_{\text{dist}}(e, \delta))}{\sum_{\delta'} \exp(w_{\text{dist}}^{\top} h_{\text{dist}}(e, \delta'))} \quad (4)$$

Features

- ▶ POS tags
- ▶ suffixes/prefixes
- ▶ lemma
- ▶ jump values
- ▶ m, n, j, i (values used to compute jump)

| Feature name | Description |
|--------------|--|
| word | Whole lexical entry |
| prefix | Prefix of specified length |
| suffix | Suffix of specified length |
| category | Boolean: checks if lexical entry contains digit(s) |

Problems with features

- ▶ We can see $e_{t-2} = \text{farmers}$ is compatible with $e_t = \text{hay}$ (in the context **farmers grow hay**)

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- ▶ and $e_{t-1} = \text{eat}$ is also compatible (in the context **cows eat hay**).

| | | | |
|--------------|---------------------|-----------|--------------------|
| farmers eat | steak → high | cows eat | steak → low |
| | hay → low | | hay → high |
| farmers grow | steak → low | cows grow | steak → low |
| | hay → high | | hay → low |

Problems with features

- ▶ Features depend on e_{t-1} , and another set of features dependent on e_{t-2} , neither set of features can rule out the unnatural phrase **farmers eat hay**

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- ▶ Learning using these combination features, e.g. **neural networks**

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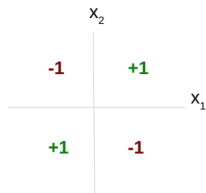
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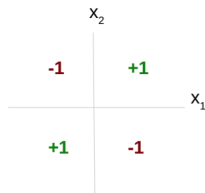
Function that cannot be solved by a linear transformation

- ▶ For example the function $x \in -1, 1$ and outputs $y = 1$ if both x_1 and x_2 are equal and $y = -1$ otherwise.



Function that cannot be solved by a linear transformation

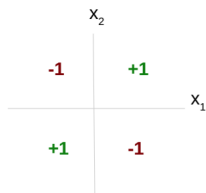
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- ▶ We can use a linear combination $y = Wx + b$
- ▶ Or a multi-layer perceptron:

$$\begin{aligned}h &= \text{step}(W_x h_x + b_h) \\ y &= w_{hy} h + b_y.\end{aligned}\tag{5}$$

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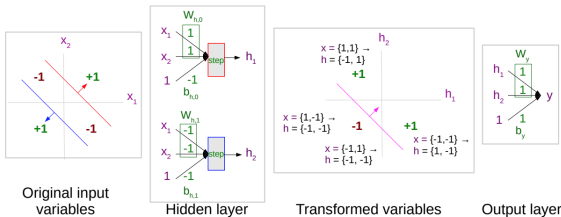
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- ▶ Both layers consist of an affine transform using weights W and biases b , followed by a $step()$ function, which calculates the following:

$$step(x) = \begin{cases} 1, & \text{if } x > 0. \\ -1, & \text{otherwise.} \end{cases} \quad (6)$$

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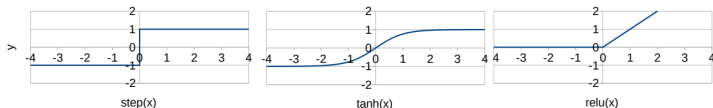
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- ▶ however the $step()$ function is not very derivative friendly
- ▶ We can use non-linear functions, hyperbolic tangent (**tanh**) function



Training Neural Networks

- ▶ We perform the full calculation of the loss function:

$$\mathbf{h}' = W_{xh}\mathbf{x} + \mathbf{b}_h$$

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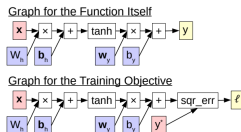
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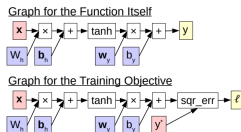
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- ▶ We use chain rule of derivatives for each set of parameters:

$$\frac{d\ell}{db_y} = \frac{d\ell}{dy} \frac{dy}{db_y}$$
$$\frac{d\ell}{d\mathbf{w}_{hy}} = \frac{d\ell}{dy} \frac{dy}{d\mathbf{w}_{hy}}$$
$$\frac{d\ell}{d\mathbf{b}_h} = \frac{d\ell}{dy} \frac{dy}{d\mathbf{h}} \frac{d\mathbf{h}}{d\mathbf{h}'} \frac{d\mathbf{h}'}{d\mathbf{b}_h}$$
$$\frac{d\ell}{dW_{xh}} = \frac{d\ell}{dy} \frac{dy}{d\mathbf{h}} \frac{d\mathbf{h}}{d\mathbf{h}'} \frac{d\mathbf{h}'}{dW_{xh}}.$$

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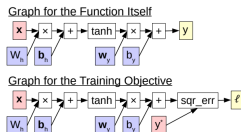
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Nothing prevents us from using more expressive functions

[Kočíský et al., 2014]

- ▶ $p(o|c) = \text{softmax}(f_{\theta}(c))$

where $f_{\theta}(\cdot)$ is a neural network with parameters θ

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- ▶ induce features (word-level, char-level, n -gram level)

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Features

- ▶ induce features (word-level, char-level, n -gram level)
- ▶ pre-trained embeddings

Neural IBM

- ▶ $f_{\theta}(e) = \text{softmax}(W_t H_E(e) + b_t)$ note that the softmax is necessary to make t_{θ} produce valid parameters for the categorical distribution

$$W_t \in \mathbb{R}^{|V_F| \times d_h} \text{ and } b_t \in \mathbb{R}^{|V_F|}$$

Neural IBM

- ▶ $h_E(e)$ is defined below with $W_{h_E} \in \mathbb{R}^{d_h \times d_e}$ and $b_{h_E} \in \mathbb{R}^{d_h}$
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- ▶ $\theta = \{W_t, b_t, W_{h_E}, b_{h_E}, W_{r_E}\}$
- ▶ Other architectures are also possible, one can use different parameterisations that may use more or less parameters. For example, with a CNN one could make this function sensitive to characters in the words, something along these lines could also be done with RNNs.

where

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- ▶ IBM1 would be convex with standard tabular CPDs, but FFNNs with 1 non-linear hidden layer (or more) make it non-convex.
- ▶ Nowadays, we have tools that can perform automatic differentiation for us.
If our functions are differentiable, we can get gradients for them.

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- ▶ Let us then express the log-likelihood (which is the objective we maximise in MLE) of a single sentence pair as a function of our free parameters:

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- ▶ Note that in fact our log-likelihood is a sum of independent terms $\mathcal{L}_j(\theta|e_0^m, f_j)$, thus we can characterise the contribution of each French word in each sentence pair as

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- ▶ To get a loss, we simply negate our objective.
You will find a lot of material that mentions some categorical cross-entropy loss.

$$\begin{aligned}l(\theta) &= - \sum_{(e_0^m, f_1^l)} p_{\star}(f_1^l | e_0^m) \log p_{\theta}(f_1^m | e_0^l) \\ &\approx -\frac{1}{S} \log p_{\theta}(f_1^l | e_0^m)\end{aligned}\tag{8}$$

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- ▶ With SGD we sample a subset \mathcal{S} of the training data and compute a loss for that sample.
- ▶ We then use automatic differentiation to obtain a gradient $\nabla_{\theta} \hat{l}(\theta | \mathcal{S})$. This gradient is used to update our deterministic parameters θ .

$$\theta^{(t+1)} = \theta^{(t)} - \delta_t \nabla_{\theta^{(t)}} l(\theta^{(t)} | \mathcal{S}) \quad (9)$$

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