

Neural Machine Translation

Encoder-Decoder

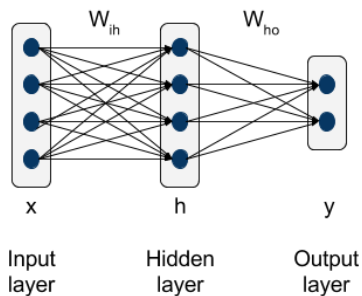
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May 18, 2018

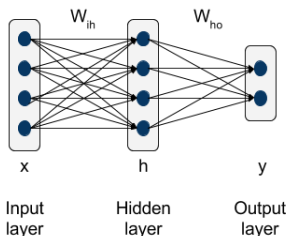
- ① Recap.
- ② Introduction
- ③ Encoder–Decoder
- ④ Attention
- ⑤ Dealing with Unknown Words and Other Tricks

Artificial Neural Networks [1]



Let $\mathbf{x} \in \mathbb{R}^4$, $\mathbf{h} \in \mathbb{R}^4$, $\mathbf{y} \in \mathbb{R}^2$.

Artificial Neural Networks [2]



Let $\mathbf{x} \in \mathbb{R}^4$, $\mathbf{h} \in \mathbb{R}^4$, $\mathbf{y} \in \mathbb{R}^2$,

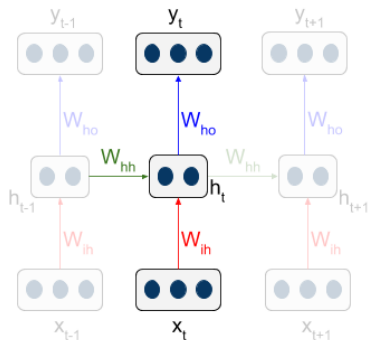
$\mathbf{W}_{ih} \in \mathbb{R}^{4 \times 4}$ and $\mathbf{b}_{ih} \in \mathbb{R}^4$, and

$\mathbf{W}_{ho} \in \mathbb{R}^{4 \times 2}$ and $\mathbf{b}_{ho} \in \mathbb{R}^2$.

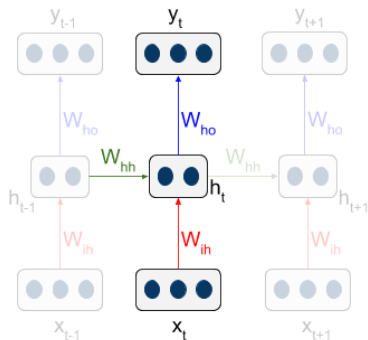
$$\mathbf{h} = \mathbf{f}(\mathbf{x}^T \mathbf{W}_{ih} + \mathbf{b}_{ih}),$$

$$\mathbf{y} = \mathbf{g}(\mathbf{h}^T \mathbf{W}_{ho} + \mathbf{b}_{ho}).$$

Recurrent Neural Networks[1]



Recurrent Neural Networks[2]

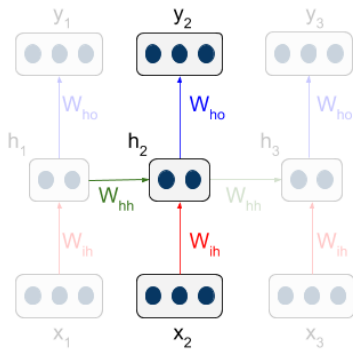


$$h_t = f(W_{ih}x_t + W_{hh}h_{t-1} + b_{ih}),$$

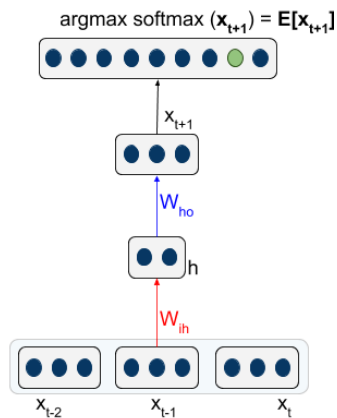
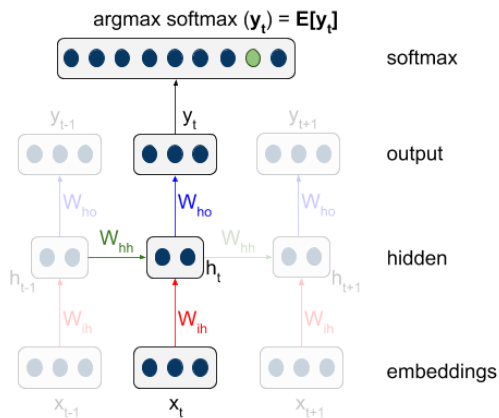
$$y_t = g(W_{ho}h_t + b_{ho}).$$

Recurrent Neural Networks[3]

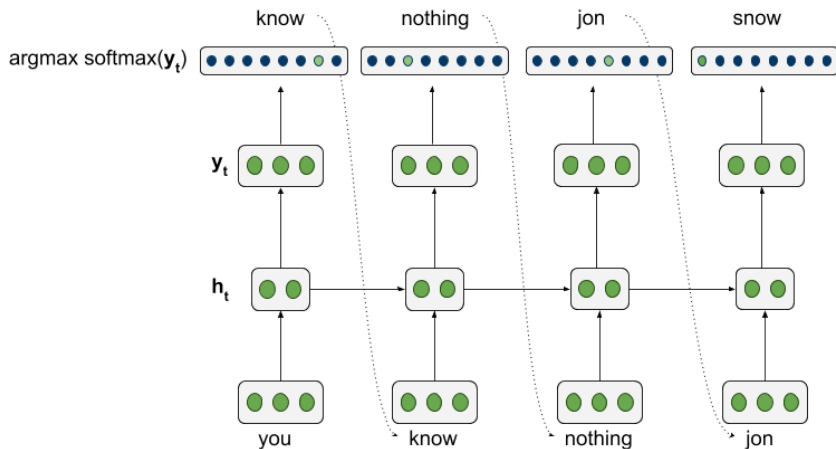
- For a **sequence of input vectors** $x = \{x_1, x_2, x_3\}$, an RNN will compute a **sequence of hidden states** $H = \{h_1, h_2, h_3\}$, and optionally a **sequence of output vectors** $y = \{y_1, y_2, y_3\}$.



RNN vs. FFNN

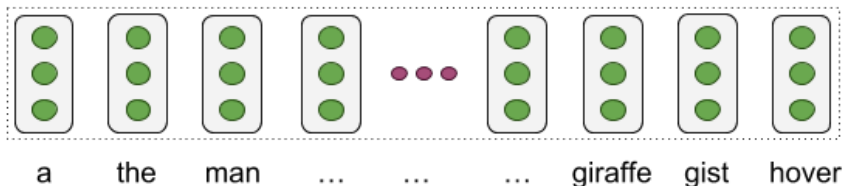


RNN Language Model



Word Embeddings: what are they?

Word embedding **matrix**:



Typically, an embedding matrix is denoted by \mathbf{W} or \mathbf{E} .

\mathbf{E}_x : source-language embeddings;

\mathbf{E}_y : target-language embeddings.

$$\mathbf{E} \in \mathbb{R}^{|V| \times d},$$

where V is the vocabulary and d is the word embedding dimensionality.

Word Embeddings: where do they come from?

Random initialisation (when enough training data is available)

E.g. Sample from a uniform distribution $[-0.1, +0.1]$;

Supervised pre-training

Train the embeddings first in a task for which there is abundant data;

Unsupervised pre-training

Create your own supervised task from raw text (e.g. word2vec);

Word Embeddings: word2vec (Mikolov et al., 2014)

Continuous Bag-Of-Words Model (CBOW)

The model **predicts the current word** given the **surrounding words**. Supervision is obtained by iterating a corpus and using a **fixed window** to gather surrounding words.

Example:

... finished . **the cat jumped like crazy** and the giraffe ...

Input $X = \{x_1, x_2, _, x_4, x_5\}$

Output $Y = \{x_3\}$

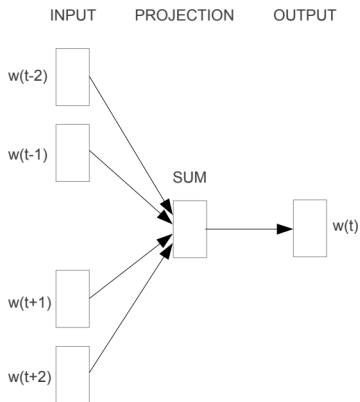
Word Embeddings: word2vec (Mikolov et al., 2014)

Example:

... the cat jumped like crazy ...

Input $X = \{x_1, x_2, _, x_4, x_5\}$

Output $Y = \{x_3\}$



CBOW

Long Short-Term Memory (LSTM) (Hochreiter and Schmidhuber, 1997)

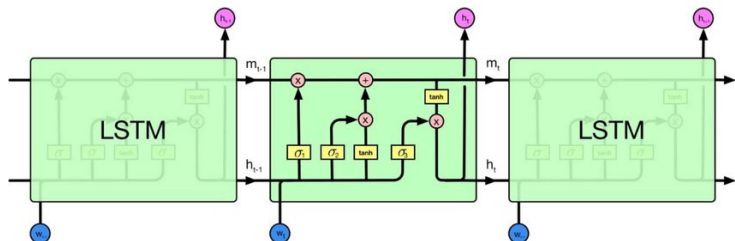


Image credits: Ma, Xiang, Du, and Fan. (2018).

$$i_t = \sigma(W_i x_t + U_i h_{t-1})$$

$$f_t = \sigma(W_f x_t + U_f h_{t-1})$$

$$o_t = \sigma(W_o x_t + U_o h_{t-1})$$

$$a_t = \tanh(W_c x_t + U_c h_{t-1})$$

$$c_t = i_t \odot a_t + f_t \odot c_{t-1}$$

$$h_t = o_t \odot \tanh(c_t)$$

Some different roles RNNs take

Given a sequence of inputs $X = \{\mathbf{x}_1, \dots, \mathbf{x}_n\}$, in short $\mathbf{x}_{1:n}$:

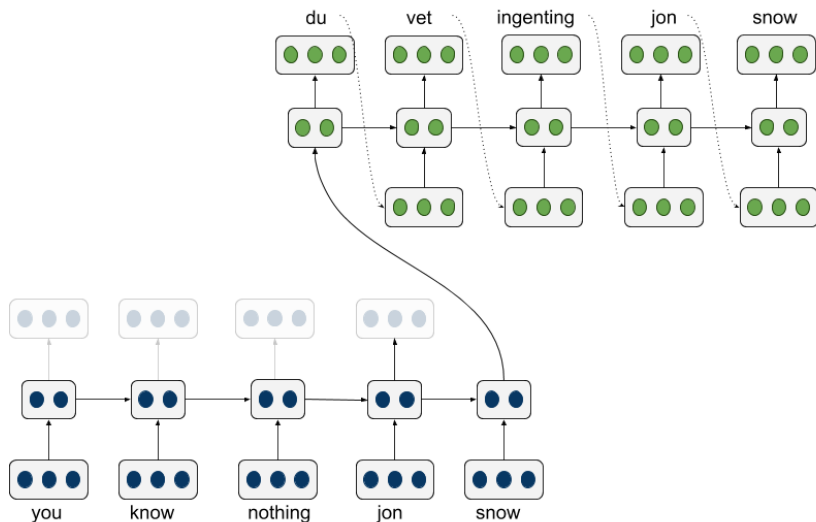
- **Encoder**: compute a sequence of hidden states $\mathbf{h}_{1:n}$, or perhaps we just need to encode the entire sequence X into a fixed-size vector \mathbf{h}_n ;
- **Acceptor**: accept/reject X ;
 - spam detection, sentiment classification;
- **Transducer**: compute a sequence of outputs for each \mathbf{x}_i ;
 - part-of-speech tagging, language modelling;
- **Encoder-Decoder**: encode X and use the last hidden state \mathbf{h}_n to initialise another RNN that generates a sequence of output words $y_{1:m}$;
 - machine translation, text summarisation;

Encoder–Decoder or seq2seq (Cho et al., 2014; Sutskever et al., 2014)

Components:

- **Encoder**: projects the source-language sentence X into a fixed-dimensional feature vector \mathbf{h} ;
- **Decoder**: generates the target-language translation Y of X from \mathbf{h} ;
- Typically, encoder and decoder are both LSTM networks.

Encoder-Decoder



Encoder-Decoder: step-by-step

- **Word embeddings**

- **source:** $E_x["you"], E_x["know"], E_x["nothing"], E_x["john"], E_x["snow"]$
- **target:** $E_y["du"], E_y["vet"], E_y["ingenting"], E_y["john"], E_y["snow"]$
- **source a.k.a.:** $X = \{x_1, \dots, x_5\}$
- **target a.k.a.:** $Y = \{y_1, \dots, y_5\}$
- In short: $X = x_{1:5}$ and $Y = y_{1:5}$.

- **Encoder**

- $h_0 = \vec{0}$;
- $h_{1:5} = \text{LSTM}_x(x_{1:5})$;

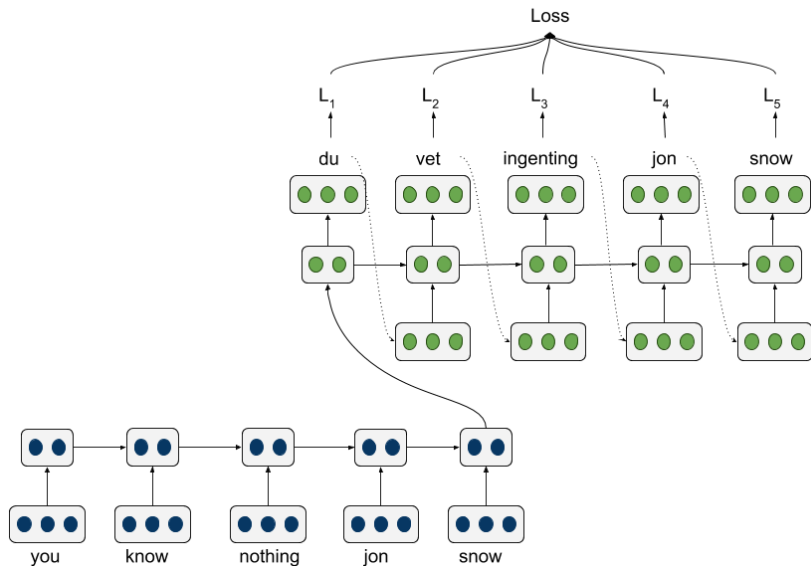
- **Decoder**

- $s_0 = \text{mean}(h_{1:5})$, or $s_0 = h_5$;
- $s_{1:5} = \text{LSTM}_y(y_{1:5})$

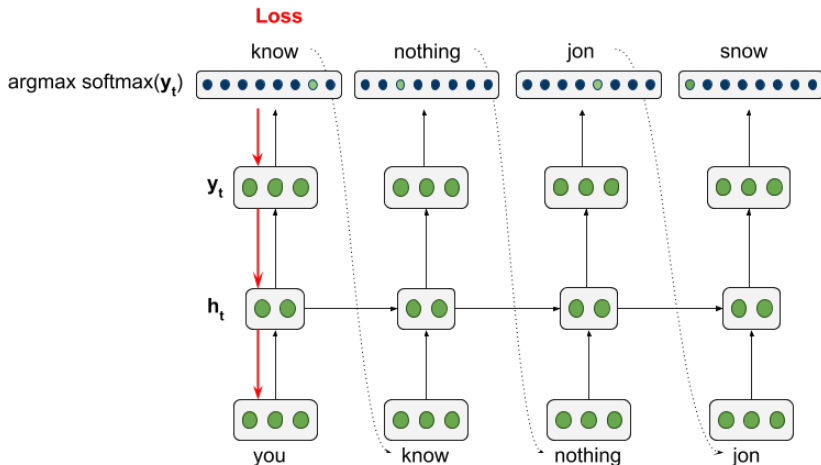
- **Readout:** $\hat{y}_{1:5} = \text{argmax softmax}(s_{1:5})$

- **Loss:** $\mathcal{L}(\hat{Y}, Y) = \sum_i \mathcal{L}(\hat{y}_i, y_i)$

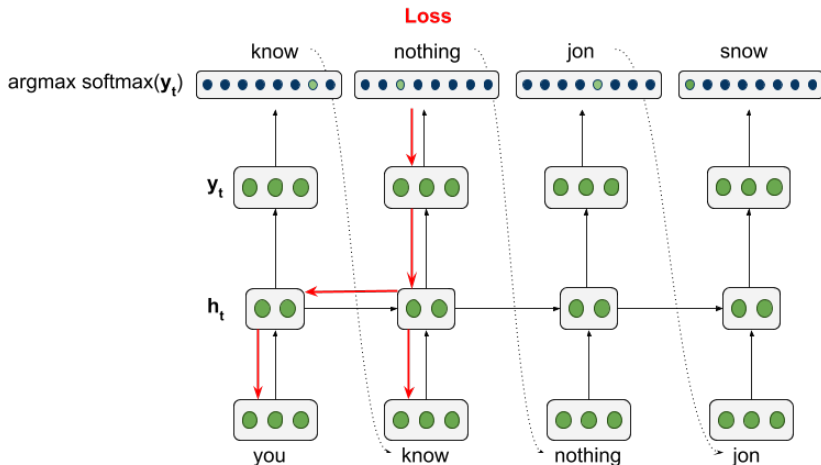
Encoder-Decoder: step-by-step



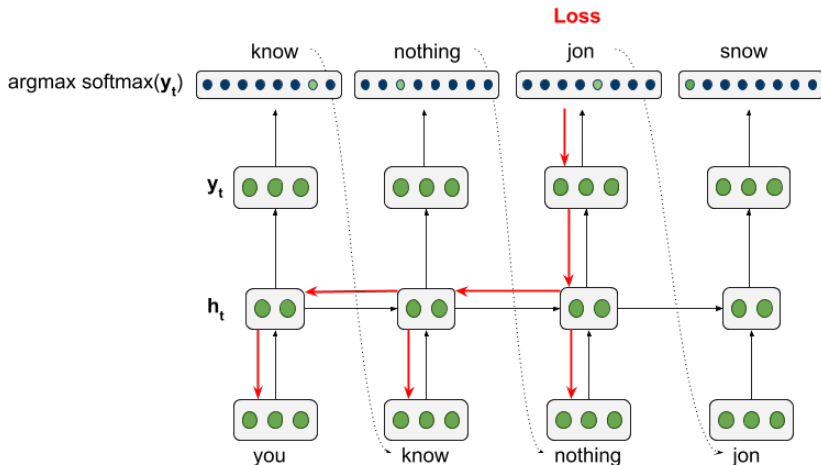
An Idea on Backpropagation Through Time



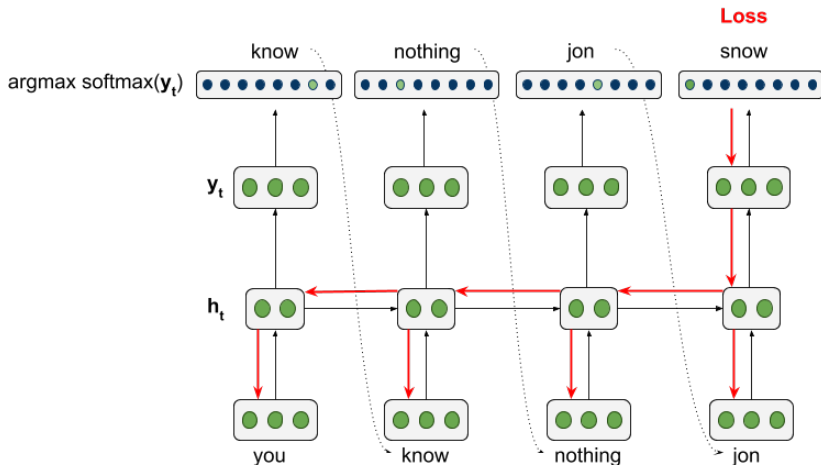
An Idea on Backpropagation Through Time



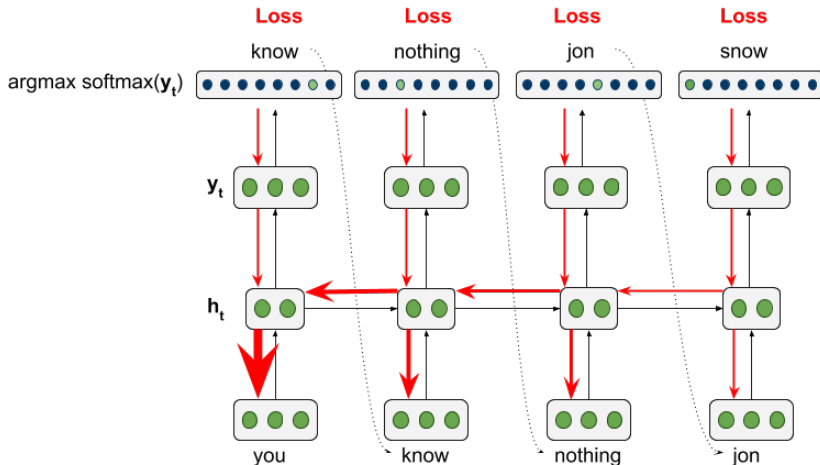
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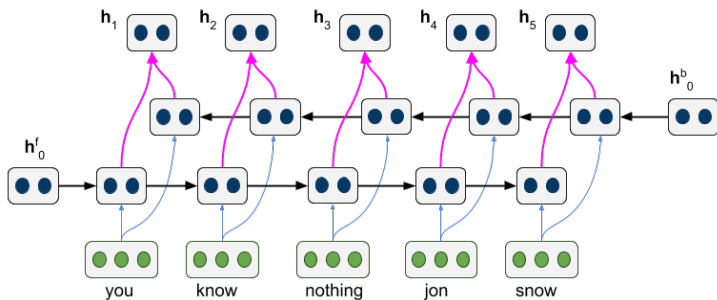
Vanishing and Exploding Gradients

Vanilla RNNs are difficult to train because they suffer from the “**vanishing gradients**” problem.

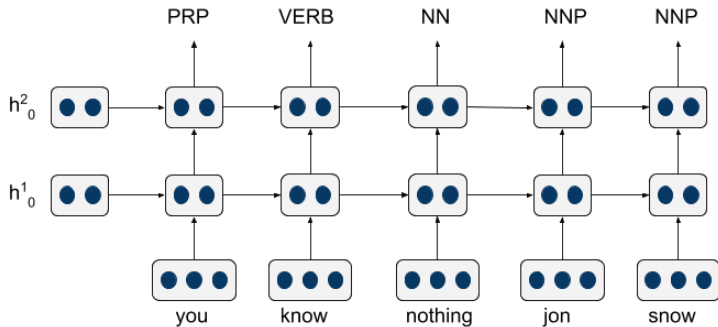
During training with back-propagation, gradients quickly become small as the length of the RNN grows because of the chain rule.

In more rare situations, it is also possible that gradients explode.

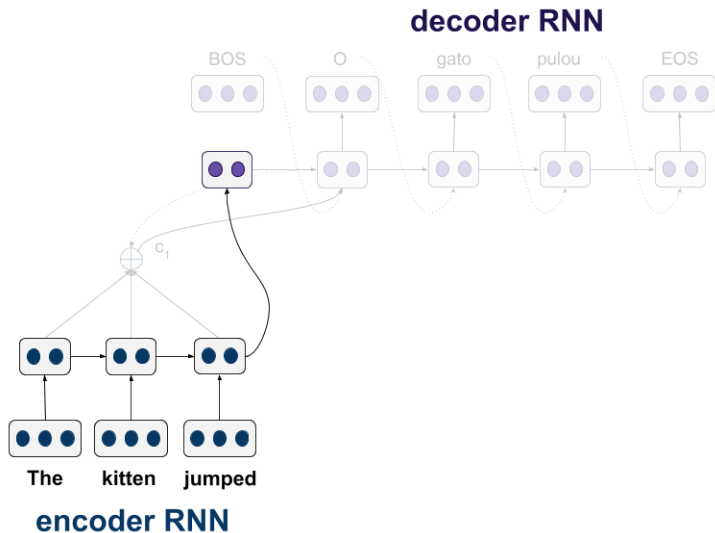
Bidirectional RNNs



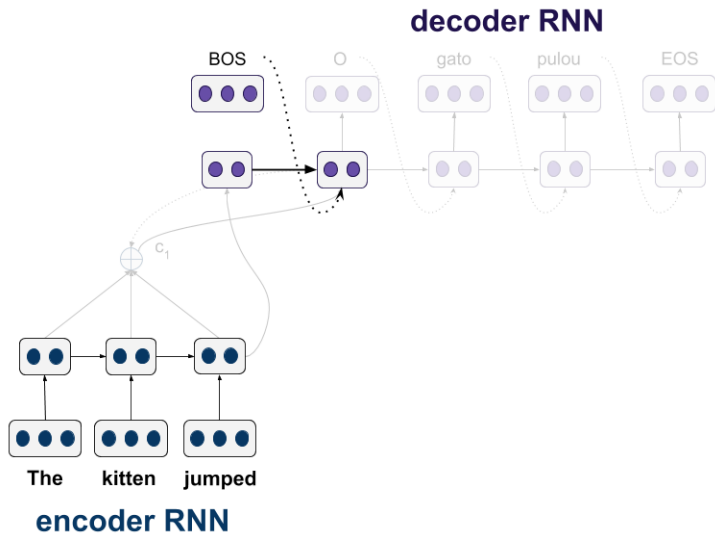
Multilayer RNNs



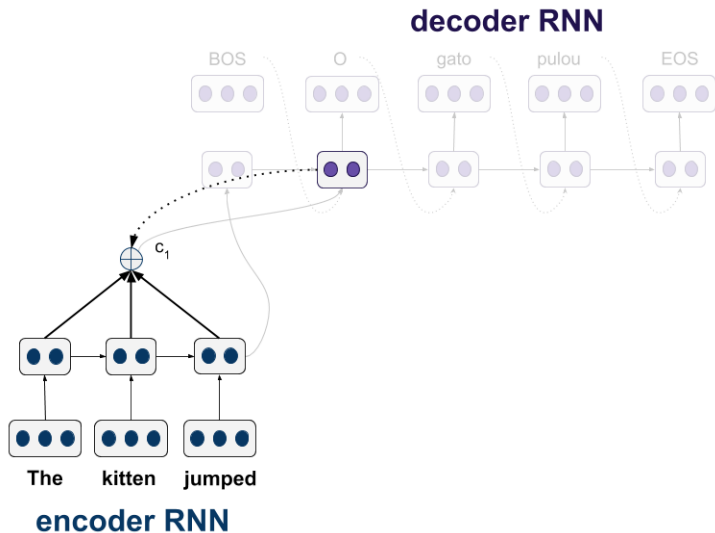
Encoder-Decoder with Attention (Bahdanau et al., 2014; Luong et al., 2015)



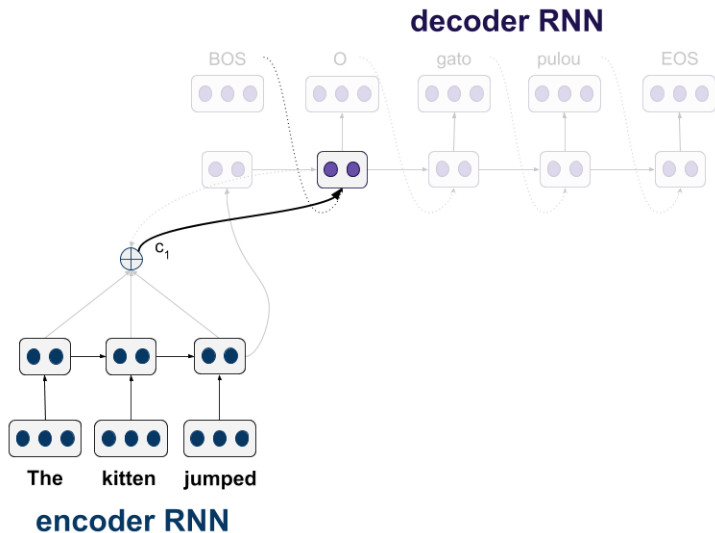
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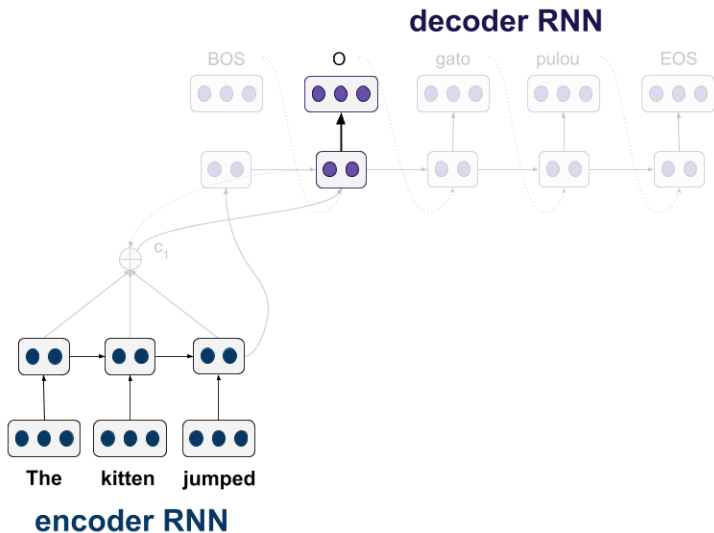
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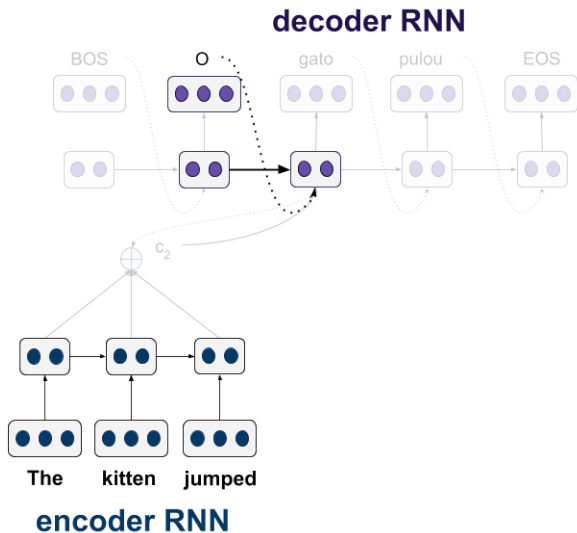
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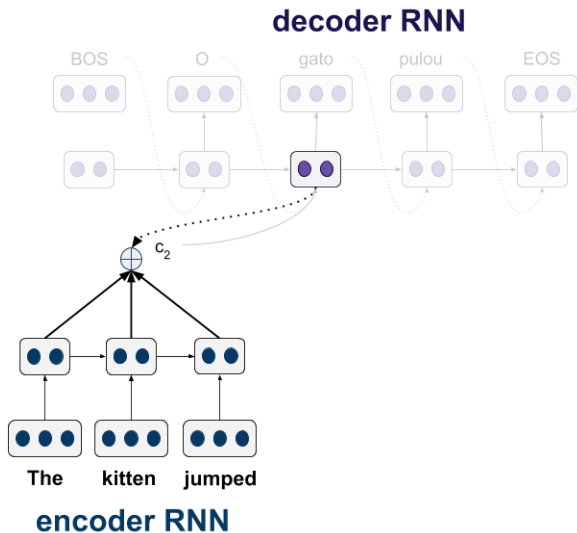
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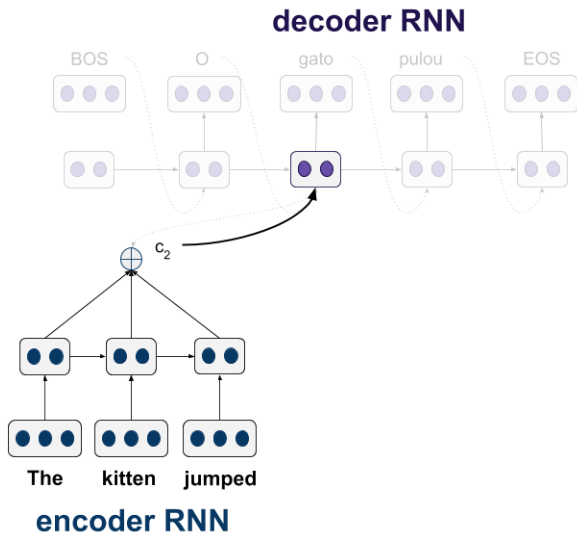
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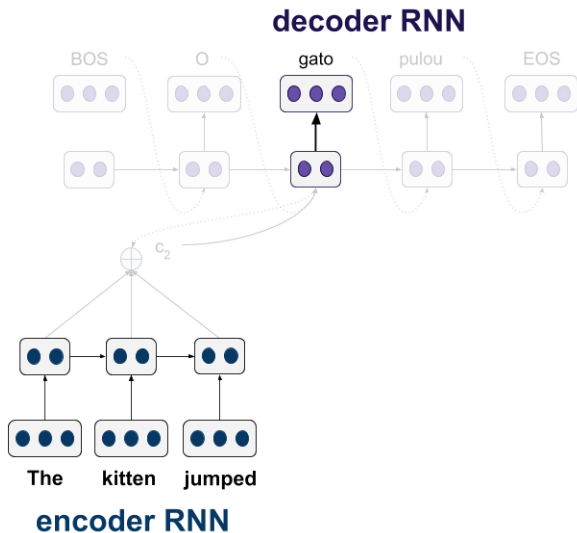
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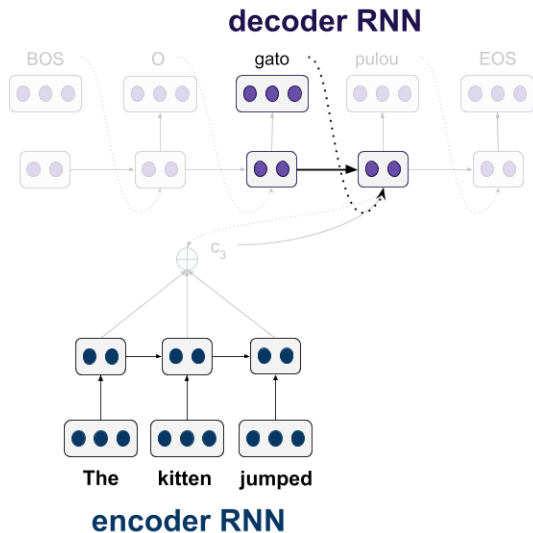
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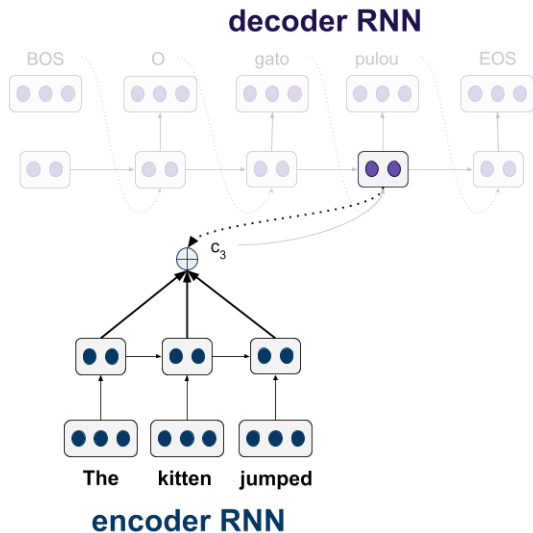
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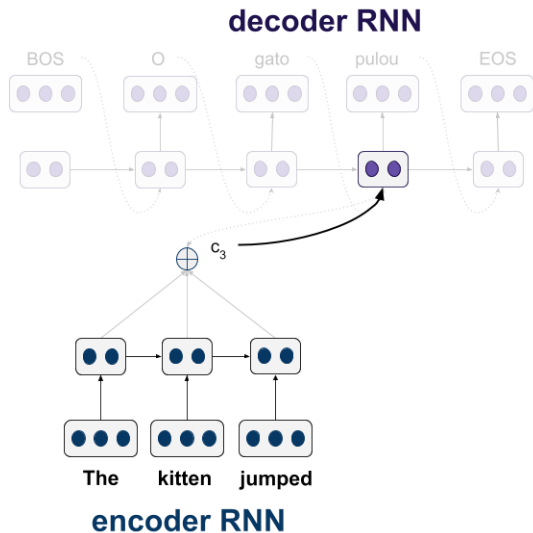
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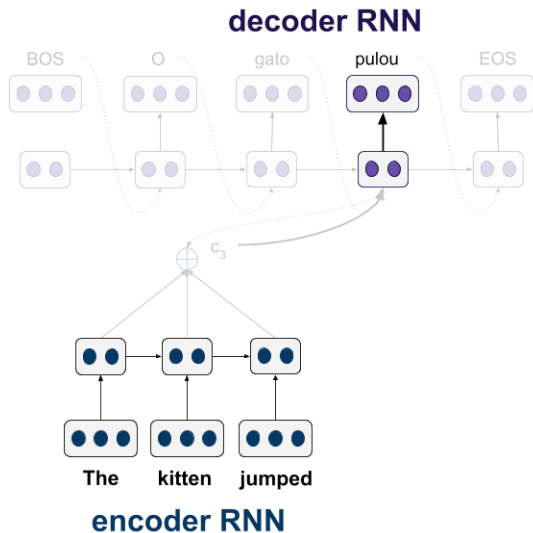
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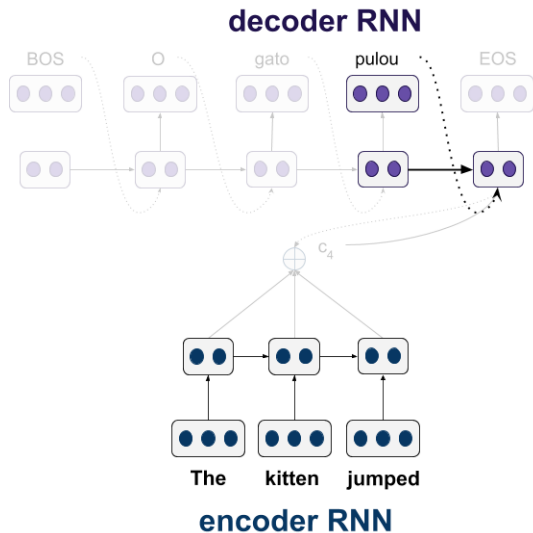
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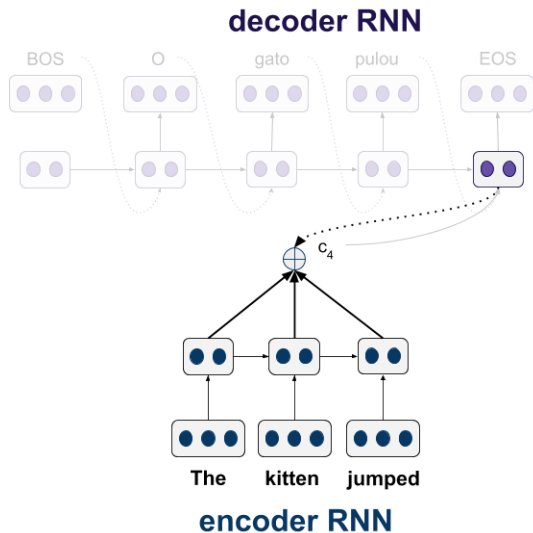
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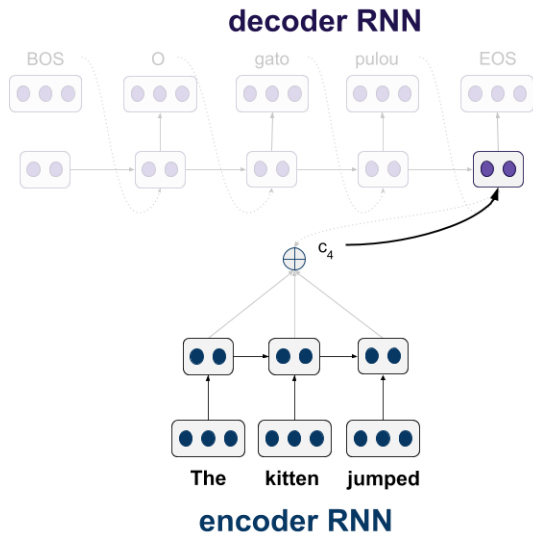
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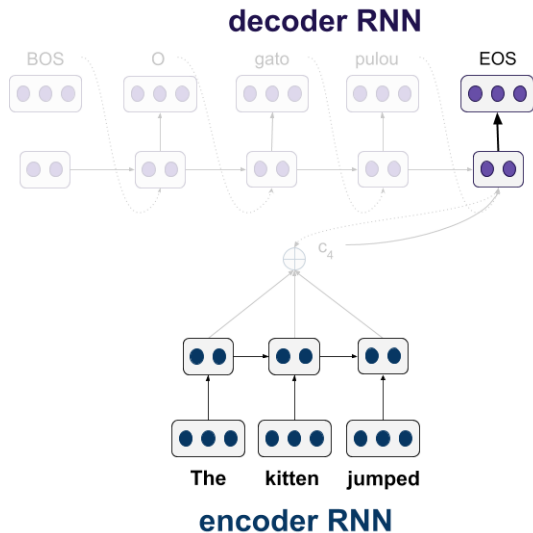
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Unknown Words

Softmax is a very expensive operation.

That means we must limit the target vocabulary, e.g. most frequent 50k words.

Any other words (i.e., out-of-vocabulary words) are now translated as UNK.

What can we do about this?

Using byte-pair encodings (Sennrich et al., 2016)

Start with a **vocabulary of characters** only.

Repeat: replace each **most frequent pair ('A', 'B')** with a **new symbol 'AB'**.

Dictionary:

```

5 l o w </w>
2 l o w e r </w>
6 n e w e s t </w>
3 w i d e s t </w>

```

Vocabulary:

```
l o w e r n s t i d
```

Using byte-pair encodings

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Dictionary:

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```

Vocabulary:

```
l o w e r n s t i d e s
```

Add pair ('e', 's') with a frequency of **9**.

Using byte-pair encodings

Start with a **vocabulary of characters** only.

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Dictionary:

```

5 l o w </w>
2 l o w e r </w>
6 n e w e s t </w>
3 w i d e s t </w>

```

Vocabulary:

```
l o w e r n s t i d e s est
```

Add pair ('es', 't') with a frequency of **9**.

Using byte-pair encodings

Start with a **vocabulary of characters** only.

Repeat: replace each **most frequent pair ('A', 'B')** with a **new symbol 'AB'**.

Dictionary:

```
5 l o w </w>
2 l o w e r </w>
6 n e w e s t </w>
3 w i d e s t </w>
```

Vocabulary:

```
l o w e r n s t i d e s e s t
lo
```

Add pair ('l', 'o') with a frequency **7**.

References

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