

# Deep latent models of word representation

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# Word representation

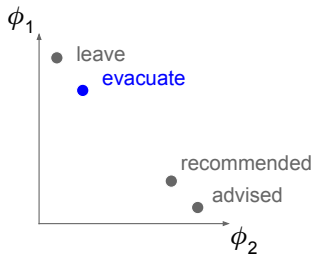
An abstraction that stands for the use of a word

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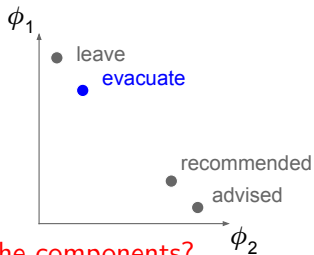
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# Word representation

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How do we choose the components?

# Distributional Hypothesis

**Context** can represent the intended use of a word

*In the event of a chemical spill, most children know they should  
**evacuate** as advised by people in charge.*

- success hinges on **discriminative power** of **available context**

# Discriminative embedding models

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(Goldberg and Levy, 2014)

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- But data only show **positive** examples

# Discriminative embedding models

*In the event of a chemical spill, most children know they should  
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ambiguity

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- positive examples
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- But data only show positive examples

# Limitations

Meaning representation is an **unsupervised** problem

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Distributional hypothesis seems pretty strong

- but it fails when context is not sufficiently discriminative

# EMBEDALIGN

## Generative treatment

- model what we want to induce (i.e. representations)
- learn from **positive examples**
- learn from richer (less ambiguous) context

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Rios et al. (2018)

# Outline

- 1 Embed-Align
- 2 Bayesian Skip-Gram
- 3 Practical

# Equivalence through translation

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Observation from WSD community

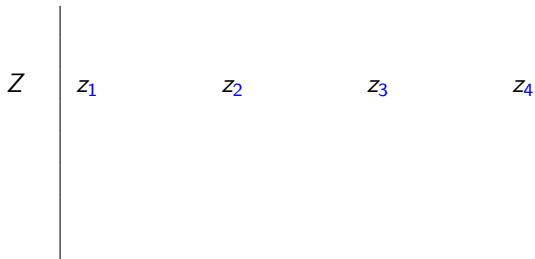
- foreign text as **proxy to sense supervision**  
(Diab and Resnik, 2002)

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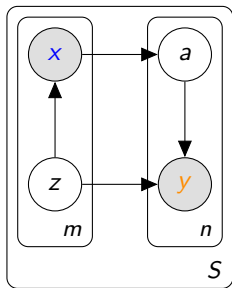
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$Z$	$z_1$	$z_2$	$z_3$	$z_4$
$A$	$a_1 = 2$	$a_2 = 3$	$a_3 = 4$	$a_4 = 1$
$Z_a$	$z_2$	$z_3$	$z_4$	$z_1$
	↓	↓	↓	↓
$Y$	deixo <sub>1</sub>	o <sub>2</sub>	local <sub>3</sub>	rapidamente <sub>4</sub>

Marginalising alignments collects additional training data for  $z$



# How does it disambiguate?

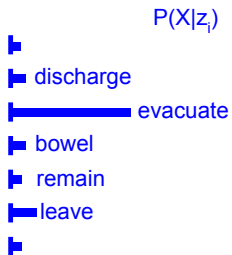
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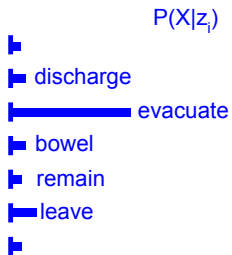
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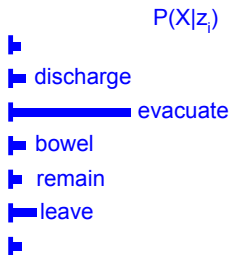


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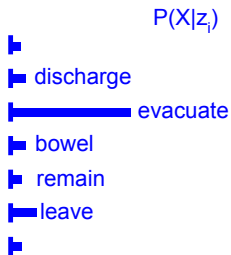
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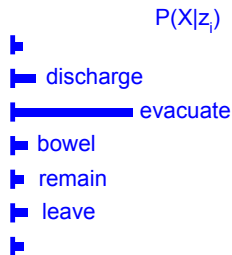
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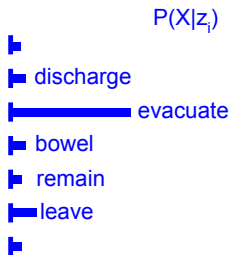
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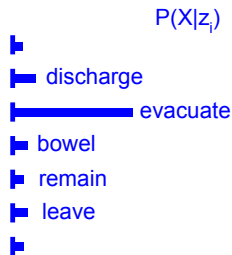
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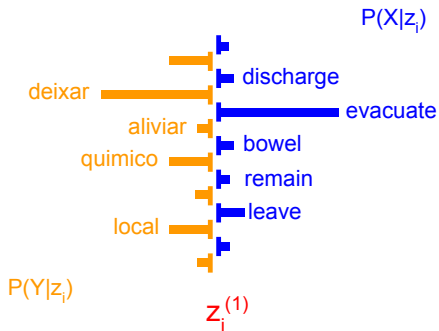


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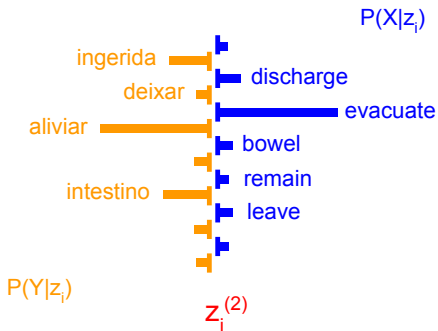
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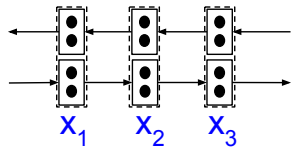
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# Tractable inference

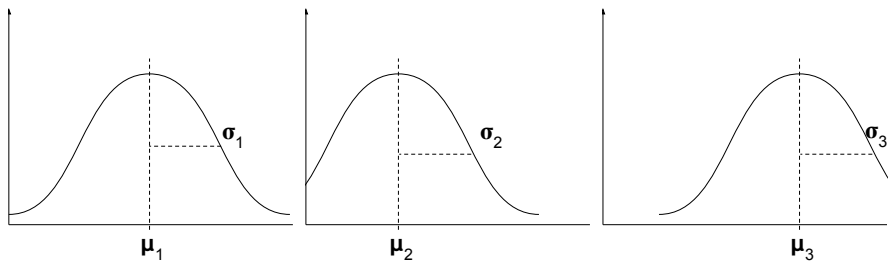
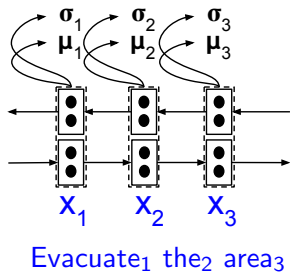
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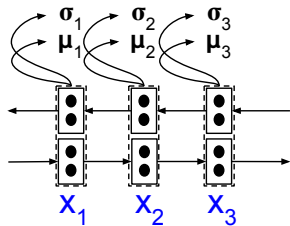
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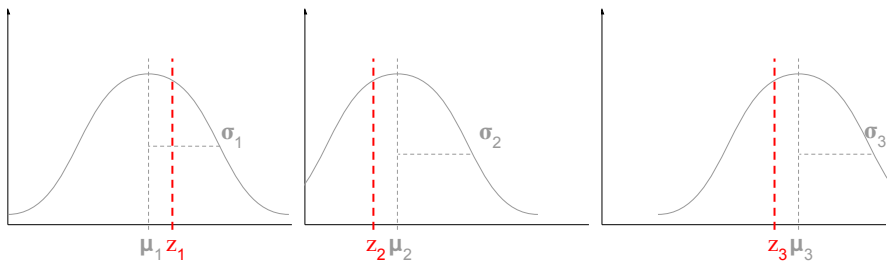


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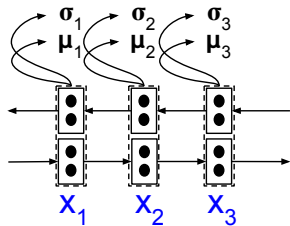


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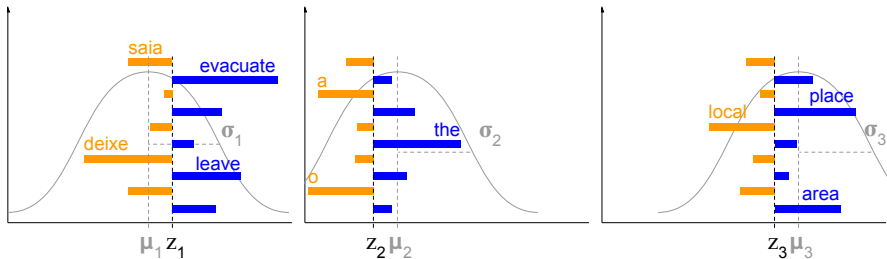


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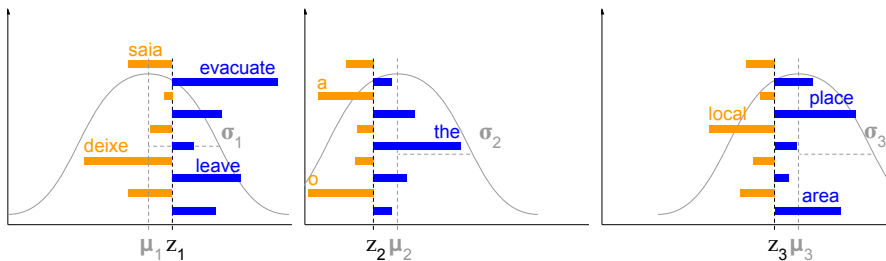
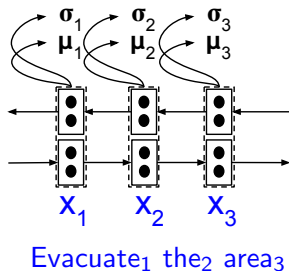


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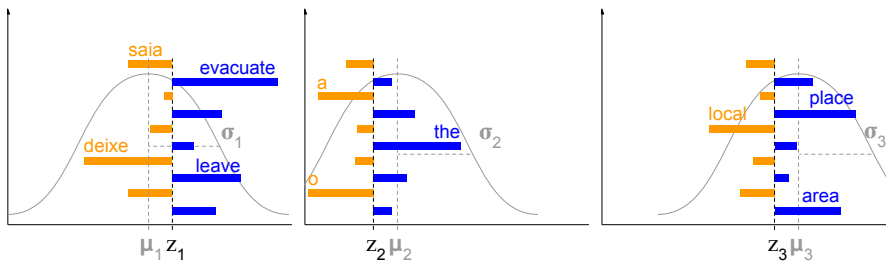
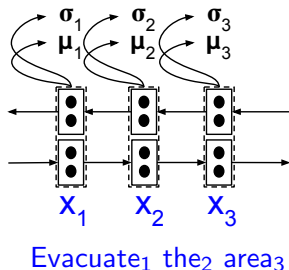
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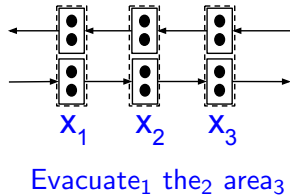
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- 6 Maximise a lowerbound on likelihood  
(Kingma and Welling, 2014)



# What's special about it?

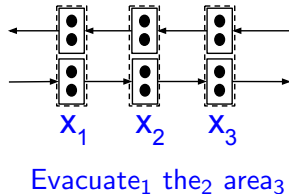
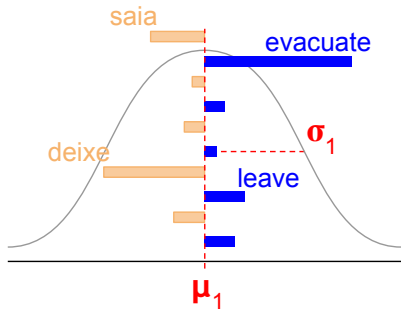
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# What's special about it?

The model reads English text and

- predicts uncertainty
- describes "sense" using Portuguese words





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Generative model: for  $i = 1, \dots, m$  and  $j = 1, \dots, n$

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## ELBO

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$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m, y_1^n|z_1^m)] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) || p(z_1^m))$$

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KL term

$$\text{KL}(q_{\lambda}(z_1^m|x_1^m) \parallel p(z)) = \underbrace{\sum_{i=1}^m \text{KL}(q_{\lambda}(z_i|x_1^m) \parallel p(z_i^m))}_{\text{mean field}}$$

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$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m, y_1^n|z_1^m)] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) \parallel p(z_1^m))$$

## KL term

$$\begin{aligned} \text{KL}(q_{\lambda}(z_1^m|x_1^m) \parallel p(z)) &= \underbrace{\sum_{i=1}^m \text{KL}(q_{\lambda}(z_i|x_1^m) \parallel p(z_1^m))}_{\text{mean field}} \\ &= \sum_{i=1}^m \text{KL} \left( \underbrace{\mathcal{N}(\mathbf{u}_i, \text{diag}(\mathbf{s}_i \odot \mathbf{s}_i))}_{\text{inference model}} \parallel \underbrace{\mathcal{N}(0, I)}_{\text{prior}} \right) \end{aligned}$$

## ELBO - L1 term

ELBO

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m, y_1^n|z_1^m)] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) || p(z_1^m))$$

## ELBO - L1 term

ELBO

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m, y_1^n|z_1^m)] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) || p(z_1^m))$$

Likelihood term

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m, y_1^n|z_1^m)]$$

## ELBO - L1 term

ELBO

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m, y_1^n|z_1^m)] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) || p(z_1^m))$$

Likelihood term

$$\begin{aligned} & \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m, y_1^n|z_1^m)] \\ &= \underbrace{\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m|z_1^m)] + \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(y_1^n|m, z_1^m)]}_{\text{conditional independence}} \end{aligned}$$

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ELBO

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Likelihood term

$$\begin{aligned} & \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m, y_1^n|z_1^m)] \\ &= \underbrace{\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m|z_1^m)] + \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(y_1^n|m, z_1^m)]}_{\text{conditional independence}} \end{aligned}$$

L1 term

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(x_1^m|z_1^m)] = \sum_{i=1}^m \mathbb{E}_{q_{\lambda}(z_i|x_1^m)} [\log P_{\theta}(x_i|z_i)]$$



## ELBO - L1 term

ELBO

$$\mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(x_1^m, y_1^n|z_1^m)] - \text{KL}(q_\lambda(z_1^m|x_1^m) || p(z_1^m))$$

Likelihood term

$$\begin{aligned} & \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(x_1^m, y_1^n|z_1^m)] \\ &= \underbrace{\mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(x_1^m|z_1^m)] + \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(y_1^n|m, z_1^m)]}_{\text{conditional independence}} \end{aligned}$$

L1 term

$$\begin{aligned} \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(x_1^m|z_1^m)] &= \sum_{i=1}^m \mathbb{E}_{q_\lambda(z_i|x_1^m)} [\log P_\theta(x_i|z_i)] \\ &= \sum_{i=1}^m \mathbb{E}_{q_\lambda(z_i|x_1^m)} [\log \text{Cat}(x_i|\mathbf{f}_i)] \end{aligned}$$

L2 term

$$\mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(y_1^n|m, z_1^m)]$$

L2 term

$$\mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(y_1^n|m, z_1^m)] = \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} \left[ \log \prod_{j=1}^n P_\theta(y_j|m, z_1^m) \right]$$

L2 term

$$\begin{aligned}\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(y_1^n|m, z_1^m)] &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{j=1}^n P_{\theta}(y_j|m, z_1^m) \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log P_{\theta}(y_j|m, z_1^m) \right]\end{aligned}$$

L2 term

$$\begin{aligned}\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(y_1^n|m, z_1^m)] &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{j=1}^n P_{\theta}(y_j|m, z_1^m) \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log P_{\theta}(y_j|m, z_1^m) \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j} P_{\theta}(y_j, a_j|m, z_1^m) \right]\end{aligned}$$

L2 term

$$\begin{aligned}\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(y_1^n|m, z_1^m)] &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{j=1}^n P_{\theta}(y_j|m, z_1^m) \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log P_{\theta}(y_j|m, z_1^m) \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j}^m P_{\theta}(y_j, a_j|m, z_1^m) \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j}^m P(a_j|m) P_{\theta}(y_j|z_{a_j}) \right]\end{aligned}$$

L2 term

$$\begin{aligned}
\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(y_1^n|m, z_1^m)] &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{j=1}^n P_{\theta}(y_j|m, z_1^m) \right] \\
&= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log P_{\theta}(y_j|m, z_1^m) \right] \\
&= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j}^m P_{\theta}(y_j, a_j|m, z_1^m) \right] \\
&= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j} P(a_j|m) P_{\theta}(y_j|z_{a_j}) \right] \\
&= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j}^m \mathcal{U}(a_j|1/m) \text{Cat}(y_j|\mathbf{g}_{a_j}) \right]
\end{aligned}$$

# ELBO - Lowerbound on L2 term

L2 term

$$\mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(y_1^n|m, z_1^m)] = \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j}^m P(a_j|m) P_\theta(y_j|z_{a_j}) \right]$$



# ELBO - Lowerbound on L2 term

L2 term

$$\begin{aligned}\mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(y_1^n|m, z_1^m)] &= \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j}^m P(a_j|m) P_\theta(y_j|z_{a_j}) \right] \\ &= \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \mathbb{E}_{P(a_j|m)} [P_\theta(y_j|z_{a_j})] \right]\end{aligned}$$

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You may skip over this slide.

# ELBO - Lowerbound on L2 term

L2 term

$$\begin{aligned}\mathbb{E}_{q_\lambda(z_1^m|x_1^m)} [\log P_\theta(y_1^n|m, z_1^m)] &= \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j}^m P(a_j|m) P_\theta(y_j|z_{a_j}) \right] \\ &= \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \mathbb{E}_{P(a_j|m)} [P_\theta(y_j|z_{a_j})] \right] \\ &\stackrel{\text{JL}}{\geq} \mathbb{E}_{q_\lambda(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \mathbb{E}_{P(a_j|m)} [\log P_\theta(y_j|z_{a_j})] \right]\end{aligned}$$

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You may skip over this slide.

# ELBO - Lowerbound on L2 term

L2 term

$$\begin{aligned}\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(y_1^n|m, z_1^m)] &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j}^m P(a_j|m) P_{\theta}(y_j|z_{a_j}) \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \mathbb{E}_{P(a_j|m)} [P_{\theta}(y_j|z_{a_j})] \right] \\ &\stackrel{\text{JL}}{\geq} \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \mathbb{E}_{P(a_j|m)} [\log P_{\theta}(y_j|z_{a_j})] \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \mathbb{E}_{\mathcal{U}(a_j|m)} [\log \text{Cat}(y_j|\mathbf{g}_{a_j})] \right]\end{aligned}$$

---

You may skip over this slide.

# ELBO - Lowerbound on L2 term

L2 term

$$\begin{aligned}\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} [\log P_{\theta}(y_1^n|m, z_1^m)] &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \sum_{a_j} P(a_j|m) P_{\theta}(y_j|z_{a_j}) \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \log \mathbb{E}_{P(a_j|m)} [P_{\theta}(y_j|z_{a_j})] \right] \\ &\stackrel{\text{JL}}{\geq} \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \mathbb{E}_{P(a_j|m)} [\log P_{\theta}(y_j|z_{a_j})] \right] \\ &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{j=1}^n \mathbb{E}_{\mathcal{U}(a_j|m)} [\log \text{Cat}(y_j|\mathbf{g}_{a_j})] \right]\end{aligned}$$

Alignment model  $P(a_j|m) = \frac{1}{m}$  is not a function of  $\theta$

- we can make an MC estimate by sampling candidate alignments uniformly

# The softmax problem

Categorical parameters are expensive to compute

$$X \sim \text{Cat}(\mathbf{f})$$

$$\mathbf{f} = \text{softmax}(\hat{\mathbf{f}})$$

$$f_x = \frac{\exp(\hat{f}_x)}{\sum_{x' \in \mathcal{X}} \exp(\hat{f}_{x'})}$$

---

$v_1 = |\mathcal{X}|$  is the size of the vocabulary of  $L_1$ ;  $v_2 = |\mathcal{Y}|$  is the size of the vocabulary of  $L_2$

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- $\mathbf{f}_1^m$  requires normalising  $m$  distributions over the vocabulary of  $L_1$ , thus it takes time  $O(m \times v_1)$
- $\mathbf{g}_1^m$  requires normalising  $m$  distributions over the vocabulary of  $L_2$ , thus it takes time  $O(m \times v_2)$

---

$v_1 = |\mathcal{X}|$  is the size of the vocabulary of  $L_1$ ;  $v_2 = |\mathcal{Y}|$  is the size of the vocabulary of  $L_2$

# Efficient softmax

Logistic regression

$$P(X = x|z) = \frac{\exp(s(z, x))}{\sum_{x' \in \mathcal{X}} \exp(s(z, x'))}$$

# Efficient softmax

Logistic regression

$$P(X = x|z) = \frac{\exp(s(z, x))}{\sum_{x' \in \mathcal{X}} \exp(s(z, x'))}$$

Define

- a set  $\mathcal{C}(x)$  such that  $x \in \mathcal{C}$
- a set  $\mathcal{N}(x)$  such that  $\mathcal{C}(x) \cap \mathcal{N}(x) = \emptyset$



# Efficient softmax

Logistic regression

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- a set  $\mathcal{N}(x)$  such that  $\mathcal{C}(x) \cap \mathcal{N}(x) = \emptyset$

Re-express normaliser for  $P(X = x|z)$

$$\sum_{x' \in \mathcal{X}} \exp(s(z, x')) = \sum_{x' \in \mathcal{C}(x)} \exp(s(z, x')) + \sum_{x' \in \mathcal{N}(x)} \kappa(x') \exp(s(z, x'))$$

- $\kappa(x') = \frac{1}{q(x')}$  and  $q(x')$  is an importance distribution

Approximate  $P_{X|Z}$ 

Logistic regression

$$P_{\theta}(x|z) = \frac{\exp(s_{\theta}(z, x))}{\sum_{x' \in \mathcal{X}} \exp(s_{\theta}(z, x'))}$$

# Approximate $P_{X|Z}$

Logistic regression

$$P_{\theta}(x|z) = \frac{\exp(s_{\theta}(z, x))}{\sum_{x' \in \mathcal{X}} \exp(s_{\theta}(z, x'))}$$

Build

- a set  $\mathcal{C}$  containing all  $L_1$  words in batch
- a set  $\mathcal{N}$  sampling uniformly without replacement from  $\mathcal{X} \setminus \mathcal{C}$

# Approximate $P_{X|Z}$

Logistic regression

$$P_{\theta}(x|z) = \frac{\exp(s_{\theta}(z, x))}{\sum_{x' \in \mathcal{X}} \exp(s_{\theta}(z, x'))}$$

Build

- a set  $\mathcal{C}$  containing all  $L_1$  words in batch
- a set  $\mathcal{N}$  sampling uniformly without replacement from  $\mathcal{X} \setminus \mathcal{C}$

Approximate normaliser for  $P_{\theta}(x|z)$

$$\sum_{x' \in \mathcal{X}} \exp(s_{\theta}(z, x')) \approx \sum_{x' \in \mathcal{C}} \exp(s_{\theta}(z, x')) + \sum_{x' \in \mathcal{N}} \frac{|\mathcal{X} \setminus \mathcal{C}|}{|\mathcal{N}|} \exp(s_{\theta}(z, x'))$$

- $s_{\theta}(z, x) = z^{\top} \mathbf{c}_x + b_x$   
 $\mathbf{c}_x = \text{lookup}_{\theta}(x)$  is a deterministic embedding,  $b_x$  a bias term

Approximate  $P_{Y|Z}$ 

Logistic regression

$$P_{\theta}(y|z) = \frac{\exp(u_{\theta}(z, y))}{\sum_{y' \in \mathcal{Y}} \exp(u_{\theta}(z, y'))}$$

# Approximate $P_{Y|Z}$

Logistic regression

$$P_{\theta}(y|z) = \frac{\exp(u_{\theta}(z, y))}{\sum_{y' \in \mathcal{Y}} \exp(u_{\theta}(z, y'))}$$

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$$\sum_{y' \in \mathcal{Y}} \exp(s_{\theta}(z, y')) \approx \sum_{y' \in \mathcal{C}} \exp(s_{\theta}(z, y')) + \sum_{y' \in \mathcal{N}} \frac{|\mathcal{Y} \setminus \mathcal{C}|}{|\mathcal{N}|} \exp(s_{\theta}(z, y'))$$

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 $\mathbf{c}_y = \text{lookup}_{\theta}(y)$  is a deterministic embedding,  $b_y$  a bias term

## Complementary Sum Sampling (CSS) - Summary

The approximation effectively reduces the size of the support of the categorical variable

- in each batch, the support is made of the word types in the batch
- along with a random subset of “negative words”
- this is similar to “negative sampling” but improves on asymptotic behaviour
- it only affects the softmax: the model remains generative



# Outline

- 1 Embed-Align
- 2 Bayesian Skip-Gram
- 3 Practical

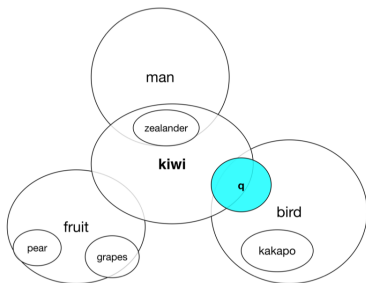


Figure 1: An idealized illustration of density embeddings. Unshaded ellipsoids encode prior densities of Gaussians. The shaded ellipsoid corresponds to the posterior for the word ‘kiwi’ when it appears in a context indicating that ‘kiwi’ refers to a bird.

*“Representing a word as a distribution provides many potential benefits. For example, such embeddings let us encode generality of terms (e.g., ‘kakapo’ is a type of ‘bird’), characterize uncertainty about semantic properties of the corresponding referent (e.g., a proper noun, such as ‘John’, encodes little about the person it refers to) or represent polysemy (e.g., ‘kiwi’ may refer to a fruit, a bird or a New Zealander).”*

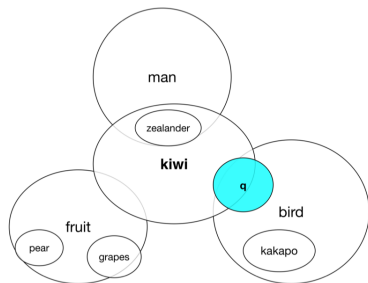


Figure 1: An idealized illustration of density embeddings. Unshaded ellipsoids encode prior densities of Gaussians. The shaded ellipsoid corresponds to the posterior for the word 'kiwi' when it appears in a context indicating that 'kiwi' refers to a bird.

*"In principle, using densities to represent words provides a natural way of encoding entailment: the decision regarding entailment relation can be made by testing the level sets of the distributions for 'soft inclusion'. For example, in Figure 1, the ellipse for 'kakapo' lies within the ellipse for 'bird'."*

# Complete specification

Generative model: for  $i = 1, \dots, m$

$$Z_i | x_i \sim \mathcal{N}(\boldsymbol{\mu}_{x_i}, \text{diag}(\boldsymbol{\sigma}_{x_i} \odot \boldsymbol{\sigma}_{x_i}))$$

$$\boldsymbol{\mu}_{x_i} = \text{lookup}_{\theta}(x_i)$$

$$\boldsymbol{\sigma}_{x_i} = \text{softplus}(\text{lookup}_{\theta}(x_i))$$

# Complete specification

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for  $k \in \mathcal{K}_i = \underbrace{\{i - n, \dots, i - 1, i + 1, \dots, i + n\}}_{n \text{ words on each side of } x_i}$

# Complete specification

Generative model: for  $i = 1, \dots, m$

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$$X_k | z_i \sim \text{Cat}(\mathbf{f}_i)$$

$$\mathbf{f}_i = \text{softmax}(\text{affine}_{\theta}(z_i))$$

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Generative model: for  $i = 1, \dots, m$

$$Z_i | x_i \sim \mathcal{N}(\boldsymbol{\mu}_{x_i}, \text{diag}(\boldsymbol{\sigma}_{x_i} \odot \boldsymbol{\sigma}_{x_i}))$$

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for  $k \in \mathcal{K}_i = \underbrace{\{i - n, \dots, i - 1, i + 1, \dots, i + n\}}_{n \text{ words on each side of } x_i}$

$$X_k | z_i \sim \text{Cat}(\mathbf{f}_i)$$

$$\mathbf{f}_i = \text{softmax}(\text{affine}_{\theta}(z_i))$$

Inference model

$$Z_i | x_{i-n}^{i+n} \sim \mathcal{N}(\mathbf{u}_i, \text{diag}(\mathbf{s}_i \odot \mathbf{s}_i))$$

$$\mathbf{h}_i = \sum_{k \in \mathcal{K}_i} \text{relu}(\text{affine}_{\lambda}([x_k, x_i]))$$

$$\mathbf{u}_i = \text{affine}_{\lambda}(h_i)$$

$$\mathbf{s}_i = \text{softplus}(\text{affine}_{\lambda}(h_i))$$

## ELBO

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{i=1}^m \prod_{k \in \mathcal{K}_i} P(x_k|z_i) \right] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) || p_{\theta}(z_1^m|x_1^m))$$

- observation model is trained discriminatively  
latent variables generate overlapping subsets of observations



## ELBO - KL term

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{i=1}^m \prod_{k \in \mathcal{K}_i} P_{\theta}(x_k|z_i) \right] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) \parallel p_{\theta}(z_1^m|x_1^m))$$

KL term

$$\text{KL}(q_{\lambda}(z_1^m|x_1^m) \parallel p_{\theta}(z_1^m|x_1^m))$$

---

Empirical Bayes: point estimate prior parameters

## ELBO - KL term

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{i=1}^m \prod_{k \in \mathcal{K}_i} P_{\theta}(x_k|z_i) \right] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) \parallel p_{\theta}(z_1^m|x_1^m))$$

KL term

$$\begin{aligned} & \text{KL}(q_{\lambda}(z_1^m|x_1^m) \parallel p_{\theta}(z_1^m|x_1^m)) \\ &= \sum_{i=1}^m \text{KL}(q_{\lambda}(z_i|x_{i-n}^{i+n}) \parallel p_{\theta}(z_i|x_i)) \end{aligned}$$

---

Empirical Bayes: point estimate prior parameters

## ELBO - KL term

$$\mathbb{E}_{q_\lambda(z_1^m|x_1^m)} \left[ \log \prod_{i=1}^m \prod_{k \in \mathcal{K}_i} P_\theta(x_k|z_i) \right] - \text{KL}(q_\lambda(z_1^m|x_1^m) \parallel p_\theta(z_1^m|x_1^m))$$

KL term

$$\begin{aligned} & \text{KL}(q_\lambda(z_1^m|x_1^m) \parallel p_\theta(z_1^m|x_1^m)) \\ &= \sum_{i=1}^m \text{KL}(q_\lambda(z_i|x_{i-n}^{i+n}) \parallel p_\theta(z_i|x_i)) \\ &= \sum_{i=1}^m \text{KL} \left( \underbrace{\mathcal{N}(\mathbf{u}_i, \text{diag}(\mathbf{s}_i \odot \mathbf{s}_i))}_{\text{inference model}} \parallel \underbrace{\mathcal{N}(\boldsymbol{\mu}_{x_i}, \text{diag}(\boldsymbol{\sigma}_{x_i} \odot \boldsymbol{\sigma}_{x_i}))}_{\text{prior}} \right) \end{aligned}$$

Empirical Bayes: point estimate prior parameters

## ELBO - Likelihood term

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{i=1}^m \prod_{k \in \mathcal{K}_i} P_{\theta}(x_k|z_i) \right] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) || p_{\theta}(z_1^m|x_1^m))$$

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Likelihood term

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{i=1}^m \prod_{k \in \mathcal{K}_i} P_{\theta}(x_k|z_i) \right] = \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{i=1}^m \sum_{k \in \mathcal{K}_i} \log P_{\theta}(x_k|z_i) \right]$$

## ELBO - Likelihood term

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{i=1}^m \prod_{k \in \mathcal{K}_i} P_{\theta}(x_k|z_i) \right] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) || p_{\theta}(z_1^m|x_1^m))$$

Likelihood term

$$\begin{aligned} \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{i=1}^m \prod_{k \in \mathcal{K}_i} P_{\theta}(x_k|z_i) \right] &= \mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \sum_{i=1}^m \sum_{k \in \mathcal{K}_i} \log P_{\theta}(x_k|z_i) \right] \\ &= \sum_{i=1}^m \sum_{k \in \mathcal{K}_i} \mathbb{E}_{q_{\lambda}(z_i|x_i^{i+n})} [\log P_{\theta}(x_k|z_i)] \end{aligned}$$

## ELBO - Likelihood term

$$\mathbb{E}_{q_{\lambda}(z_1^m|x_1^m)} \left[ \log \prod_{i=1}^m \prod_{k \in \mathcal{K}_i} P_{\theta}(x_k|z_i) \right] - \text{KL}(q_{\lambda}(z_1^m|x_1^m) || p_{\theta}(z_1^m|x_1^m))$$

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# The softmax problem

To circumvent an expensive softmax, change the likelihood term

$$P_{\theta}(x|z) = \frac{u_{\theta}(z, x)}{\sum_{x' \in \mathcal{X}} u_{\theta}(z, x')} \quad \text{with } u_{\theta}(\cdot, \cdot) > 0$$

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and re-write the likelihood term

$$\mathbb{E}_{q_{\lambda}(z)} [\log P_{\theta}(x|z)] = \mathbb{E}_{q_{\lambda}(z)} \left[ \log u_{\theta}(z, x) - \log \sum_{x' \in \mathcal{X}} u_{\theta}(z, x') \right]$$

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## Lowerbound on likelihood term

Then (by design) let

$$u_{\theta}(z, x) = \underbrace{P(x)}_{\text{fixed}} \mathcal{N}(z | \boldsymbol{\mu}_x, \text{diag}(\boldsymbol{\sigma}_x \odot \boldsymbol{\sigma}_x))$$

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$$= \mathbb{E}_{q_{\lambda}(z)} \left[ \log \sum_{x' \in \mathcal{X}} P(x) \mathcal{N}(z | \mu_x, \text{diag}(\sigma_x \odot \sigma_x)) \right]$$

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# Lowerbound on likelihood term

Then (by design) let

$$u_{\theta}(z, x) = \underbrace{P(x)}_{\text{fixed}} \mathcal{N}(z | \mu_x, \text{diag}(\sigma_x \odot \sigma_x))$$

And bound  $\mathbb{E}_{q_{\lambda}(z)} [\log \sum_{x' \in \mathcal{X}} u_{\theta}(z, x')]$

$$\begin{aligned} &= \mathbb{E}_{q_{\lambda}(z)} \left[ \log \sum_{x' \in \mathcal{X}} P(x) \mathcal{N}(z | \mu_x, \text{diag}(\sigma_x \odot \sigma_x)) \right] \\ &= \mathbb{E}_{q_{\lambda}(z)} \left[ \log \mathbb{E}_{P(x)} [\mathcal{N}(z | \mu_x, \text{diag}(\sigma_x \odot \sigma_x))] \right] \\ &\stackrel{\text{JL}}{\geq} \mathbb{E}_{q_{\lambda}(z)} \left[ \mathbb{E}_{P(x)} [\log \mathcal{N}(z | \mu_x, \text{diag}(\sigma_x \odot \sigma_x))] \right] \end{aligned}$$

- $P(x)$  does not depend on  $\theta$ , we can compute an MC estimate e.g. empirical (unigram) distribution

# Outline

- 1 Embed-Align
- 2 Bayesian Skip-Gram
- 3 Practical**

# Practical

- Skip-gram (Mikolov et al., 2013)
- Bayesian skip-gram (Bražiņskas et al., 2017)
- Embed-Align (Rios et al., 2018)

# Comparison

	SkipGram	Bayesian SkipGram	EmbedAlign
LVM		✓	✓
Generative training			✓
Prior		type-specific Gaussian	$\mathcal{N}(0, I)$
Inference model		FFNN	BiLSTM
Softmax	negative sampling	Jl	CSS

# Literature I

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Tomas Mikolov, Ilya Sutskever, Kai Chen, Greg S Corrado, and Jeff Dean. Distributed representations of words and phrases and their compositionality. In *Advances in neural information processing systems*, pages 3111–3119, 2013.

## Literature II

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